

# Three Problems about Dynamic Convex Hulls\*

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February 13, 2012

## Abstract

We present three results related to dynamic convex hulls:

- A fully dynamic data structure for maintaining a set of  $n$  points in the plane so that we can find the edges of the convex hull intersecting a query line, with expected query and amortized update time  $O(\log^{1+\varepsilon} n)$  for an arbitrarily small constant  $\varepsilon > 0$ . This improves the previous bound of  $O(\log^{3/2} n)$ .
- A fully dynamic data structure for maintaining a set of  $n$  points in the plane to support halfplane range reporting queries in  $O(\log n + k)$  time with  $O(\text{polylog } n)$  expected amortized update time. A similar result holds for 3-dimensional orthogonal range reporting. For 3-dimensional halfspace range reporting, the query time increases to  $O(\log^2 n / \log \log n + k)$ .
- A semi-online dynamic data structure for maintaining a set of  $n$  line segments in the plane, so that we can decide whether a query line segment lies completely above the lower envelope, with query time  $O(\log n)$  and amortized update time  $O(n^\varepsilon)$ . As a corollary, we can solve the following problem in  $O(n^{1+\varepsilon})$  time: given a triangulated terrain in 3-d of size  $n$ , identify all faces that are partially visible from a fixed viewpoint.

## 1 Introduction

### 1.1 Problem 1: Dynamic planar convex hulls with line intersection and related queries

*Dynamic planar convex hull* has long been a favorite topic in classical computational geometry. The problem is to design a data structure that can maintain a set  $S$  of  $n$  points in the plane under insertions and deletions and that can answer queries about the convex hull  $\text{CH}(S)$ . Typical kinds of queries include:

- (a) find the most extreme vertex in  $\text{CH}(S)$  (i.e., the most extreme point in  $S$ ) along a query direction;
- (b) decide whether a query line intersects  $\text{CH}(S)$  (a special case of (a));
- (c) find the two vertices of  $\text{CH}(S)$  that form tangents with a query point outside the hull;

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\*A preliminary version of this paper has appeared in *Proc. 27th ACM Sympos. Comput. Geom.*, 2011.

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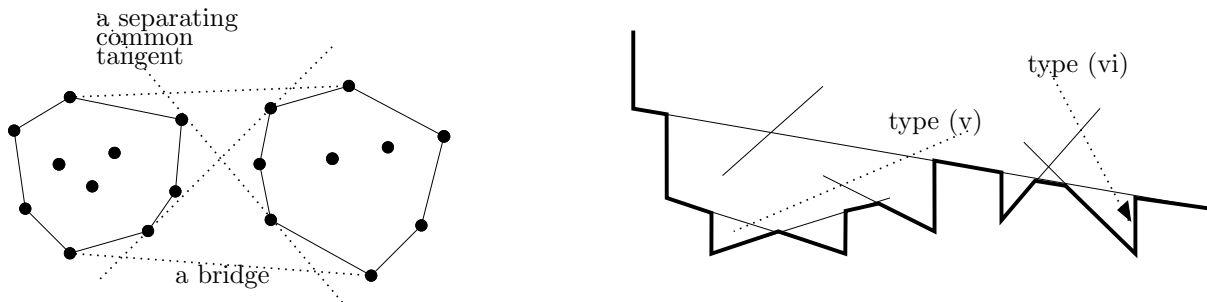


Figure 1: (Left) Two convex hulls and an illustration of a type-(h) query. (Right) The lower envelope of a set of segments and an illustration of type-(v) and type-(vi) queries.

(d) find the two vertices adjacent to a given vertex of  $\text{CH}(S)$  (a special case of (c)).

The first polylogarithmic method was discovered by Overmars and van Leeuwen [33] in 1981: their *hull tree* data structure can answer queries in  $O(\log n)$  time and support updates in  $O(\log^2 n)$  time.

For a long time this  $O(\log^2 n)$  bound had remained unsurpassed, until the author [11] in 2001 proposed a new line of attack and obtained a method with  $O(\log^{1+\varepsilon} n)$  amortized update time that can answer the above queries (a)–(d) in  $O(\log n)$  time for any fixed constant  $\varepsilon > 0$ . (By balancing, one can also get  $2^{O(\sqrt{\log \log n})} \log n$  query and amortized update time with this approach.) Shortly afterwards, Brodal and Jacob [7] improved the amortized update time to  $O(\log n \log \log n)$  for insertions and  $O(\log n \log \log \log n)$  for deletions, by following the same approach and incorporating some additional ideas. Brodal and Jacob continued much further with the approach and in 2002 [8] eventually achieved the coveted  $O(\log n)$  bound for both query and amortized update time. The result is optimal in standard decision tree models. The final method is quite complicated—the current draft of the full paper exceeds 100 pages—but aside from this “minor” drawback, the dynamic planar convex hull problem would appear to be fully solved, at least for queries of types (a)–(d).

However, there is a second group of queries for which optimal  $O(\log n)$  bounds are not yet known:

- (e) find the intersection of  $\text{CH}(S)$  with a vertical query line;
- (f) decide whether a query point lies inside  $\text{CH}(S)$  (a special case of (e));
- (g) find the intersection of  $\text{CH}(S)$  with an arbitrary query line;
- (h) find the two outer common tangents (called the bridges) and the two separating common tangents for two disjoint convex hulls  $\text{CH}(S_1)$  and  $\text{CH}(S_2)$  of given point sets  $S_1$  and  $S_2$ . (See Figure 1(left).)

All these queries arise naturally in many applications. For example, answering *linear programming queries* in a dynamic set of halfplanes in 2-d can be reduced to the above kinds of queries by duality [6]. (In fact, queries (e)–(h) are all related to linear programming.)

What distinguishes the first group of queries (a)–(d) from the second group (e)–(h) is that the former is *decomposable* [5], i.e., if  $S$  is partitioned into subsets  $S_1$  and  $S_2$ , the answer to a query for  $S$  can be obtained from the answers to the query for  $S_1$  and the query for  $S_2$  in constant time. Queries (e)–(h) do not directly satisfy the decomposability property: Overmars and van Leeuwen’s result still holds, but the author’s approach currently only gives a solution with  $O(\log^{3/2} n)$  query

and amortized update time [11], while Brodal and Jacob’s subsequent papers do not address this group of queries at all.

Since Brodal and Jacob’s papers, no further progress has been reported. (Demaine and Pătraşcu [20] had results on the word RAM model for integer input, but the present paper will focus on results on the real RAM.) In this paper we will revisit the problem:

**Problem 1** *Design a data structure to maintain a set of points in the plane under insertions and deletions so that queries of types (e)–(h) above can be answered efficiently.*

**New result.** We describe a solution with query and amortized update time  $O(\log^{1+\varepsilon} n)$  for any fixed  $\varepsilon > 0$  (for the inquisitive readers, the precise bound is actually  $2^{O(\sqrt{\log \log n \log \log \log n})} \log n$ ). Although the solution follows the same approach as in the author’s paper [11], using exactly the same data structure, and requires only one major new idea in the query algorithm, we believe the result is still interesting because of the fundamental nature of the problem.

## 1.2 Problem 2: Dynamic halfplane range reporting

Next, we turn to another related fundamental problem: *dynamic halfplane range reporting*. The goal here is to maintain a set  $S$  of  $n$  points in the plane under insertions and deletions so that we can efficiently report all points inside a query halfplane. We let  $k$  denote the number of reported points, i.e., the output size.

This problem can be solved using a dynamic convex hull data structure, by repeatedly finding an extreme point along the direction defined by the query halfplane, deleting it, and re-inserting it back later. This gives  $O(\log n + k \log^2 n)$  query time with Overmars and van Leeuwen’s data structure, or  $O((1+k) \log n)$  with Brodal and Jacob’s. With Overmars and van Leeuwen’s structure, one can more directly obtain  $O((1+k) \log n)$  query time. However, neither approach achieves linear dependency in the output size  $k$ , which is much desirable in practice:

**Problem 2** *Design a data structure to maintain a set of points in the plane under insertions and deletions so that halfplane range reporting queries can be answered in  $O(\text{polylog } n + k)$  time.*

Static data structures achieving  $O(\log n + k)$  query time are known with optimal  $O(n \log n)$  preprocessing time [10, 17], but the best dynamic data structure with  $O(\log n + k)$  query time currently requires  $O(n^\varepsilon)$  amortized update time [4].

**New result.** We show that  $O(\log n + k)$  query time is possible with polylogarithmic amortized expected update time; the precise update bound is  $O(\log^{6+\varepsilon} n)$ . The expectation is with respect to internal randomization in the update algorithm; the result holds for worst-case point sets and worst-case update sequences. Our idea is to move away from both the approach by Overmars and van Leeuwen [33] and the 2-d dynamic convex hull approach initiated by the author [11], but instead adapt an approach from a different paper of the author on  $\beta$ -d convex hulls [14]. That paper presented the first data structure for dynamic 3-d convex hull queries that achieves polylogarithmic query and update time, namely,  $O(\log^2 n)$  query time and  $O(\log^6 n)$  amortized expected update time. It was already noted that 3-d halfspace range reporting queries can be answered in  $O(\log^2 n + k \log n)$  time with that data structure [14]. We use additional (simple) ideas to bring the query time down to  $O(\log n + k)$  in 2-d.

### Extensions to 3-d dynamic halfspace range reporting and orthogonal range reporting.

Our ideas imply a weaker  $O(\log^2 n / \log \log n + k)$  query bound for dynamic 3-d halfspace range reporting, which has applications to 2-d circular range reporting and 2-d  $k$ -nearest-neighbors queries [2, 10]. Note that the  $O(\log^2 n / \log \log n)$  query bound is currently the best known even in the insertion-only case for  $k = 1$ . Perhaps more interestingly, our ideas imply the first data structure for *dynamic 3-d orthogonal range reporting* (reporting all points inside a query axis-aligned box) with  $O(\log n + k)$  query time and polylogarithmic amortized expected update time, by exploiting the similarity between 3-d halfspace range reporting and 3-d dominance range reporting. Consequently, we obtain the current-record query time for dynamic orthogonal range reporting in any constant dimension  $d \geq 3$  with polylogarithmic update time:  $O((\log n / \log \log n)^{d-3} \log n + k)$  query time with  $O(\log^{d+6+\varepsilon} n)$  amortized expected update time. Compare this to Mortensen's previous result [30], which has query time worse by almost a log factor, though with a better update bound:  $O((\log n / \log \log n)^{d-1} + k)$  query time with  $O(\log^{d-1-1/8+\varepsilon} n)$  update time. (See also [31] and references therein for more on dynamic orthogonal range reporting; see [16] for recent developments on static orthogonal range reporting.)

### 1.3 Problem 3: Semi-dynamic lower envelopes of line segments

Lastly, we explore a generalization of dynamic planar convex hulls: *dynamic lower envelopes of line segments*. The goal is to maintain a set  $S$  of  $n$  possibly intersecting line segments in the plane under insertions and deletions so that we can efficiently answer queries about the lower envelope  $\text{LE}(S)$ . The lower envelope is defined as the boundary of the region of all points  $q$  that lie above at least one segment of  $S$ . This boundary is  $x$ -monotone and consists of pieces of segments of  $S$  and extra connecting vertical edges. (See Figure 1(right).) Some natural types of queries include:

- (i) compute the intersection of  $\text{LE}(S)$  with a vertical query line;
- (ii) decide whether a point is above or below  $\text{LE}(S)$  (a special case of (i));
- (iii) decide whether a query line segment is completely below  $\text{LE}(S)$ ;
- (iv) given a query ray originating from below  $\text{LE}(S)$ , compute the first point on  $\text{LE}(S)$  that is hit by the ray;
- (v) decide whether a query line segment  $q$  is completely above  $\text{LE}(S)$  (i.e., whether  $\text{LE}(S \cup \{q\}) = \text{LE}(S)$ );
- (vi) given a query ray originating from above  $\text{LE}(S)$ , compute the first point on  $\text{LE}(S)$  that is hit by the ray.

The problem generalizes dynamic convex hulls in more ways than one. For example, if all the segments degenerate to points, then query (iii) for lines reduces to an extreme point query. On the other hand, if all the segments are lines, then the lower envelope is dual to the upper hull [6], and query (v) for lines reduces to testing whether a point lies inside a convex hull.

It is already known that queries (i)–(ii) can be solved efficiently in polylogarithmic amortized time for lower envelopes of arbitrary  $x$ -monotone curves (of constant description complexity) by adapting the author's technique for dynamic 3-d convex hulls [14]. For line segments in the insertion-only case, queries (iii)–(iv) can still be solved in polylogarithmic time easily by the so-called *logarithmic*

method [5], because such queries are decomposable (a segment is completely below  $\text{LE}(S_1 \cup S_2)$  iff it is completely below both  $\text{LE}(S_1)$  and  $\text{LE}(S_2)$ ). For line segments with both insertions and deletions, one can also obtain a polylogarithmic solution for (iii)–(iv) using a dynamic segment tree approach [18, 34] (details are left as an exercise to the reader). However, despite superficial likeness, queries (v)–(vi) are nondecomposable and appear markedly more difficult even in the insertion-only case—which is all the more reason why we will study them in this paper:

**Problem 3** *Design a data structure to maintain a set of line segments in the plane under insertions only so that queries of types (v)–(vi) can be answered efficiently.*

Several approaches can get sublinear  $O(\sqrt{n} \text{polylog } n)$  query and update time. For example, as suggested by Pankaj Agarwal (personal communication, 2002), we can divide the plane into  $\sqrt{n}$  slabs and maintain a dynamic lower envelope of lines and a static lower envelope of segments in each slab; this approach works in the fully dynamic setting. Alternatively, in the insertion-only case, following a strategy from [12], we can maintain one single static lower envelope which is rebuilt after every  $\sqrt{n}$  insertions.

**New result.** We break the  $\sqrt{n}$  barrier by presenting a new data structure with  $O(\log n)$  query time for (v),  $O(\log^2 n)$  query time for (vi), and  $O(n^\varepsilon)$  amortized update time for any fixed  $\varepsilon > 0$ . (By balancing, we can also get  $2^{O(\sqrt{\log n})}$  query and update time.) The result extends to the *semi-online* update setting [12, 21], where during each insertion, we know the position of the matching deletion operation in the update sequence. In particular, the semi-online case includes the *offline* case where the entire update sequence is known in advance.

**Application to partial visibility in terrains.** Problem 3 has at least one interesting algorithmic application: given a triangulated terrain of size  $n$  in 3-d (i.e., a polyhedron such that each vertical line intersects the polyhedron once and all faces are triangles), and given a viewpoint or viewing direction  $q$ , classify each face as either “partially visible” or “totally hidden” with respect to  $q$ . This problem has obvious connections to hidden surface removal and occlusion culling: it provides a useful preprocessing step to speed up hidden-surface-removal algorithms. An advantage in studying this problem is that the output size, i.e., the number of partially visible faces, is obviously at most  $n$ , even if the entire visibility map may have quadratic combinatorial complexity. Grove, Murali, and Vitter [24] (see also [27]) for example have studied the similar problem of identifying partially visible faces among  $n$  disjoint axis-aligned rectangles in 3-d and gave an  $O(n \log n)$  algorithm. The partial visibility problem for the terrain case was explicitly mentioned in a paper by Kitsios *et al.* [27], who noted an  $O(n^{3/2} \alpha(n) \log n)$  algorithm (or more precisely,  $O(n\sqrt{k} \alpha(k) \log n)$  if there are  $k$  partially visible faces). We show in Section 4 that the terrain problem can be reduced to Problem 3 for an (offline) sequence of insertions and type-(v) queries and thus can be solved in time  $O(n^{1+\varepsilon})$  (or more precisely,  $n2^{O(\sqrt{\log n})}$ ) by our new data structure, improving Kitsios *et al.*’s previous result.

Note that the superficially similar problem of classifying each face as “partially hidden” vs. “totally visible” is easier, again due to decomposability: a set  $S_1 \cup S_2$  of objects partially hides an object  $q$  iff  $S_1$  partially hides  $q$  or  $S_2$  partially hides  $q$ . (For the terrain case, it is possible to solve this version of the problem in  $O(n \text{polylog } n)$  time using a 2-d lower envelope data structure with insertions and type-(iii) queries.)

## 1.4 Organization

The three problems are solved in the three sections to follow, which are independent of one another and can be read in any order one wishes. The solutions to Problems 1 and 2 are more technical, being reliant on methods from previous papers [11, 14]; the solution to Problem 3 is the most original, and arguably the most interesting—it involves a clever new variant of the segment tree. By the end of this paper, all of the three current dynamic convex hull techniques—Overmars and van Leeuwen’s hull trees [33] and the author’s approaches to dynamic 2-d convex hulls [11] and dynamic 3-d convex hulls [14]—will have been encountered.

## 2 Dynamic Planar Convex Hulls with Line Intersection Queries

In this section, we solve Problem 1. We focus on one representative type of queries (which we call type-(e) queries in the Introduction): computing the intersection of the convex hull with a vertical query line. It suffices to consider the upper hull. If the input points are  $(a_i, b_i)$  and the query line is  $x = m$ , then the problem is equivalent to finding a line  $y = \xi x - \eta$  such that  $b_i \leq \xi a_i - \eta$  for all  $i$ , while minimizing  $\xi m - \eta$ . We work in *dual* space [6] and the input points are transformed into lines  $\{(\xi, \eta) : \eta = a_i \xi - b_i\}$ . The problem then reduces to *linear programming (LP) queries*: maintain a set  $S$  of lines in  $\mathbb{R}^2$  under  $n$  insertions and deletions so that given a query direction  $q$ , we can find a point on the lower envelope  $\text{LE}(S)$  that is extreme along  $q$ . Denote the desired point by  $\text{ANS}(S, q)$ .

We will actually solve a slight generalization of LP queries for two lower envelopes: for any two sets  $S$  and  $S'$  currently maintained, find  $\text{ANS}(S \cup S', q)$  for a query direction  $q$ . As a subroutine, we will include a solution of the previously solved problem of *lowest line queries*: given a query vertical line  $q$ , find  $\text{LE}(S) \cap q$ . (In primal space, these correspond to extreme point queries.)

**The previous data structure.** The data structure we use is identical to one from the author’s previous paper [11]; the innovation lies in the query algorithm. We summarize all the properties we need about the data structure in the theorem below. Given this theorem as a black box, our solution will be self-contained. The theorem itself was obtained by a combination of a base- $b$  version of the *logarithmic method* [5, 11], a known deletion-only data structure [26], and a dynamic  $b^{O(1)}$ -ary version of the *interval tree* [6, 11].

**Theorem 2.1** ([11]) *Let  $b \geq \log n$  be any fixed value. For a dynamic set  $S$  of lines in  $\mathbb{R}^2$  that is initially empty and undergoes  $n$  insertions and deletions, we can maintain a tree  $\mathcal{T}(S)$  of  $b^{O(1)}$  degree and  $O(\log_b n)$  height in  $O(\log_b n \log n)$  amortized time with the following properties (see Figure 2):*

1. *Each node  $v$  stores a vertical slab  $\sigma_v$ . At each internal node  $v$ , the  $b^{O(1)}$  children’s slabs partition the slab at  $v$  and are maintained in a standard search tree. At a leaf  $v$ , the slab does not contain any vertex of  $\text{LE}(S)$ .*
2. *Each node  $v$  stores a list  $S_v$  of  $b^{O(1)}$  lines of  $S$ . The lists undergo a total of  $O(n \log_b n)$  updates over time.*
3. *If  $v_1, \dots, v_i$  is a path from the root ( $i \geq 2$ ), then  $\text{LE}(S) \cap \partial\sigma_{v_i}$  coincides with  $\text{LE}(S_{v_1} \cup \dots \cup S_{v_{i-1}}) \cap \partial\sigma_{v_i}$ ,<sup>1</sup> in other words, the lowest line at either boundary of  $\sigma_{v_i}$  must be in the list  $S_{v_j}$*

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<sup>1</sup>Throughout this paper,  $\partial X$  denotes the boundary of a set  $X$ ; for example, in the case that  $X$  is a two-dimensional vertical slab,  $\partial X$  is the union of two vertical lines.

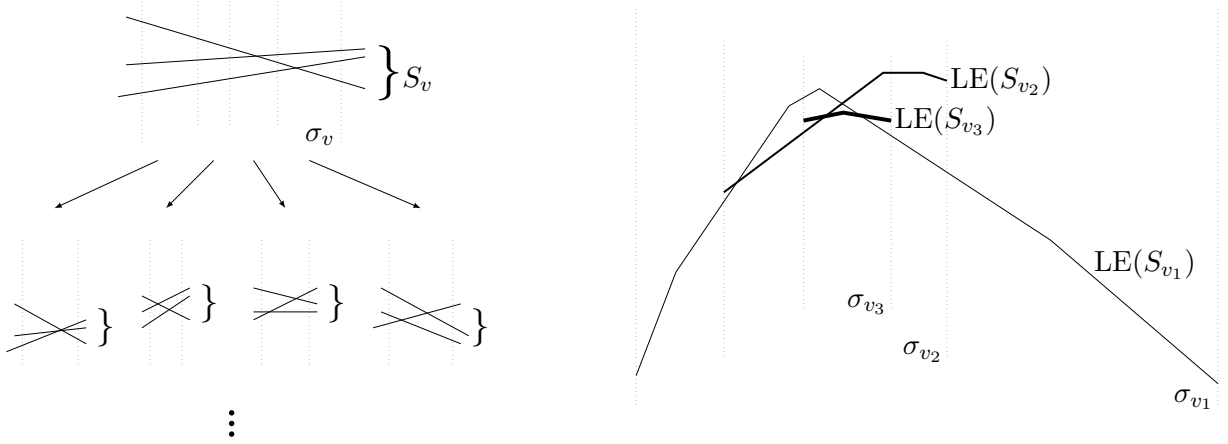


Figure 2: (Left) The data structure consists of a degree- $b^{O(1)}$  tree of slabs, where each node  $v$  stores a list  $S_v$  of  $b^{O(1)}$  lines. (Right) A path  $v_1, v_2, v_3, \dots$  in the tree and the lower envelopes of  $S_{v_1}, S_{v_2}, S_{v_3}, \dots$ ; property 3 of Theorem 2.1 is satisfied in this example.

of some proper ancestor  $v_j$  of  $v_i$ .

We store each list  $S_v$  in an auxiliary data structure that supports updates in  $U_0(b^{O(1)})$  amortized time, lowest line queries in  $Q_{\text{low}0}(b^{O(1)})$  time, and LP queries (over two sets) in  $Q_{\text{lp}0}(b^{O(1)})$  time. By property 2 of Theorem 2.1, each insertion/deletion causes an amortized  $O(\log_b n)$  number of updates to the lists  $S_v$ , and thus the amortized update time of the whole data structure is

$$U(n) = O(\log_b n \log n + U_0(b^{O(1)}) \log_b n). \quad (1)$$

Answering lowest line queries with this data structure is relatively straightforward: We find the root-to-leaf path consisting of all nodes  $v_1, \dots, v_\ell$  whose slabs contain the query vertical line  $q$ . The answer is the line defining  $\text{LE}(S) \cap \partial\sigma_{v_\ell}$ , which by property 3 of Theorem 2.1 is the lowest among the lowest lines of  $\text{LE}(S_{v_i}) \cap \partial\sigma_{v_\ell}$  over the  $O(\log_b n)$  nodes  $v_i$ . Thus, we get query time

$$Q_{\text{low}}(n) = O(Q_{\text{low}0}(b^{O(1)}) \log_b n). \quad (2)$$

**The new query algorithm.** For LP queries, we need the following locality property. This property is implicitly used in known binary search algorithms for solving LP over the intersection of two convex polygons, and related problems such as computing common tangents between linearly separated convex polygons [33, 34].

**Lemma 2.2** *Let  $S$  and  $S'$  be two sets of lines in  $\mathbb{R}^2$ . Given two vertical lines  $\ell$  and  $\ell'$ , and a query direction  $q$ , knowing the lowest line of  $S$  at  $\ell$  and the lowest line of  $S'$  at  $\ell'$  only (but not knowing  $S$  and  $S'$  themselves), we can deduce in  $O(1)$  time which side of  $\ell$  contains the  $\text{ANS}(S \cup S', q)$  or which side of  $\ell'$  contains the  $\text{ANS}(S \cup S', q)$  (but not necessarily both).*

**Proof:** By applying a linear transformation  $(x, y) \mapsto (x, y - mx)$  for a suitable value  $m$ , we can make  $q$  the vertical upward direction. Let  $v = \text{LE}(S) \cap \ell$  and  $v' = \text{LE}(S') \cap \ell'$ . W.l.o.g., suppose  $\ell$  is to the left of  $\ell'$  and  $v$  is higher than  $v'$ . If the lowest line of  $S'$  at  $\ell'$  has positive slope, then the

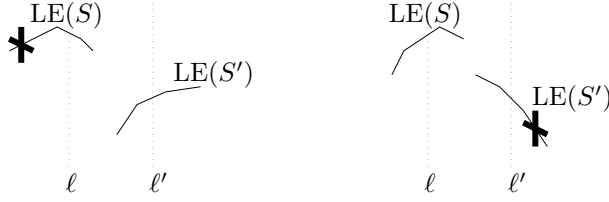


Figure 3: Deciding which side to prune when computing the highest point of  $\text{LE}(S \cup S')$ .

answer must be to the right of  $\ell$  (see Figure 3(left)). Otherwise, the answer must be to the left of  $\ell'$  (see Figure 3(right)).  $\square$

By applying the above lemma a constant number of times, we immediately obtain this slight generalization:

**Corollary 2.3** *Let  $S$  and  $S'$  be two sets of lines in  $\mathbb{R}^2$ . Given two partitions  $\Sigma$  and  $\Sigma'$  of  $\mathbb{R}^2$  into  $O(1)$  disjoint vertical slabs, and a query direction  $q$ , knowing the lowest lines of  $S$  at all dividing vertical lines in  $\Sigma$  and the lowest lines of  $S'$  at all dividing vertical lines in  $\Sigma'$ , we can deduce in  $O(1)$  time which slab of  $\Sigma$  contains  $\text{ANS}(S \cup S', q)$  or which slab of  $\Sigma'$  contains  $\text{ANS}(S \cup S', q)$  (but not necessarily both).*

We now describe how to answer an LP query, i.e., find  $\text{ANS}(S \cup S', q)$  given a data structure for  $S$  and a data structure for  $S'$ . We maintain a sequence of nodes  $v_1, v_2, \dots$  in  $\mathcal{T}(S)$  and  $v'_1, v'_2, \dots$  in  $\mathcal{T}(S')$  whose slabs contain  $\text{ANS}(S \cup S', q)$ . We start with the root  $v_1$  of  $\mathcal{T}(S)$  and  $v'_1$  of  $\mathcal{T}(S')$  and initialize  $i = i' = 1$ . In each iteration, let

$$Z = S_{v_1} \cup \dots \cup S_{v_i}, \quad Z' = S'_{v'_1} \cup \dots \cup S'_{v'_{i'}}, \quad \text{and } z = \text{ANS}(Z \cup Z', q).$$

We will explain how to compute  $z$  later. In  $O(\log b)$  time, locate the child slab  $\sigma$  of  $\sigma_{v_i}$  and the child slab  $\sigma'$  of  $\sigma_{v'_{i'}}$  containing  $z$ .<sup>2</sup> Compute the lowest lines of  $Z$  at  $\partial\sigma$  and the lowest lines of  $Z'$  at  $\partial\sigma'$ , by querying the  $O(\log_b n)$  subsets  $S_{v_1}, \dots, S_{v_i}, S'_{v'_1}, \dots, S'_{v'_{i'}}$  in  $O(Q_{\text{low}0}(b^{O(1)}) \log_b n)$  time. By property 3 of Theorem 2.1, these coincide with the lowest lines of  $S$  at  $\partial\sigma$  and the lowest lines of  $S'$  at  $\partial\sigma'$ . Apply Corollary 2.3 for the partition formed by  $\partial\sigma$  (2 vertical lines, 3 vertical slabs) and the partition formed by  $\partial\sigma'$ ; the outcome of the corollary for  $S, S'$  must be the same as the outcome for  $Z, Z'$ . Recall that  $\sigma$  and  $\sigma'$  both contain  $z = \text{ANS}(Z \cup Z', q)$ . Therefore, in  $O(1)$  time, we can deduce one of the following: that  $\sigma$  contains  $\text{ANS}(S \cup S', q)$  or that  $\sigma'$  contains  $\text{ANS}(S \cup S', q)$ . In the former case, we set  $v_{i+1}$  to the child of  $v_i$  with slab  $\sigma$  and increment  $i$ ; in the latter, we set  $v'_{i'+1}$  to the child of  $v'_{i'}$  with slab  $\sigma'$  and increment  $i'$ . When both  $v_i$  and  $v'_{i'}$  are leaves, we can stop, as the answer is defined by the lowest lines at  $\partial\sigma_{v_i}$  and  $\partial\sigma_{v'_{i'}}$ . The number of iterations is at most twice the tree height, i.e.,  $O(\log_b n)$ .

It remains to provide a method to compute  $z$  in each iteration. The key idea is to view the  $\ell = O(\log_b n)$  lower envelopes  $\text{LE}(S_{v_1}), \dots, \text{LE}(S_{v_i}), \text{LE}(S'_{v'_1}), \dots, \text{LE}(S'_{v'_{i'}})$  as constraints in a convex programming problem where the constraints are not given explicitly but are accessible only through

<sup>2</sup>In this sentence, the two unbounded slabs from the complement of  $\sigma_{v_i}$  (resp.  $\sigma_{v'_{i'}}$ ) are considered possible child slabs.



certain oracles or primitive operations. (This idea was used in some previous papers, e.g., in a geometric optimization technique by the author [13].) We first recall some facts about convex programming in a constant dimension  $d$ : Given a set of  $N$  convex objects in  $\mathbb{R}^d$ , suppose we want to minimize a convex function over the intersection of these objects. Existing randomized algorithms for *LP-type problems* [19, 35] can solve this problem using an expected linear number of primitive operations. Only two types of primitive operations are required:

- *violation test*: decide whether a given point lies outside a given object; and
- *basis evaluation*: find the optimum over the intersection of  $d$  given objects.

It is known that an expected  $O(N)$  number of violation tests and an expected  $O(\log N)$  number of basis evaluations are sufficient.

In our setting, the objective function is linear, the convex objects are the polygons formed by the lower envelopes of  $S_{v_1}, \dots, S_{v_i}, S'_{v'_1}, \dots, S'_{v'_i}$ , and  $N = i + i' = O(\ell)$ . A violation test corresponds to testing whether a given point lies above a lower envelope, which reduces to a lowest line query, requiring  $Q_{\text{low0}}(b^{O(1)})$  time. A basis evaluation corresponds to an LP query over two subsets, requiring  $Q_{\text{lp0}}(b^{O(1)})$  time. We conclude that  $z$  can be computed in  $O(\ell \cdot Q_{\text{low0}}(b^{O(1)}) + \log \ell \cdot Q_{\text{lp0}}(b^{O(1)}))$  expected time. The total expected time over all iterations is

$$Q_{\text{lp}}(n) = O(\log_b n \cdot [Q_{\text{low0}}(b^{O(1)}) \log_b n + Q_{\text{lp0}}(b^{O(1)}) \log \log_b n]). \quad (3)$$

**Analysis.** We can now obtain a near-logarithmic solution by bootstrapping. Assume the availability of a solution with  $U_0(n) \leq c_k(\log n)^{1+1/k}$ ,  $Q_{\text{low0}}(n) \leq c_k \log n$ , and  $Q_{\text{lp0}}(n) \leq c_k(\log n)^{1+1/k}(\log \log n)^k$ . We can use Overmars and van Leeuwen's method for the base case  $k = 1$  (alternatively we can use a more trivial base case with some extra bootstrapping steps). Substituting into (1), (2), and (3) gives

$$\begin{aligned} U(n) &= O(\log_b n \log n + c_k(\log b)^{1+1/k} \log_b n) = O(\log^2 n / \log b + c_k \log n (\log b)^{1/k}) \\ Q_{\text{low}}(n) &= O(c_k \log b \log_b n) = O(c_k \log n) \\ Q_{\text{lp}}(n) &= O(\log_b n \cdot [c_k \log b \log_b n + c_k(\log b)^{1+1/k}(\log \log b)^k \log \log_b n]) \\ &= O(c_k \log^2 n / \log b + c_k \log n (\log b)^{1/k} (\log \log n)^{k+1}). \end{aligned}$$

Choosing  $b$  with  $\log b = (\log n)^{k/(k+1)}$  yields the improved bounds  $U(n) = O(c_k(\log n)^{1+1/(k+1)})$  and  $Q_{\text{lp}}(n) = O(c_k(\log n)^{1+1/(k+1)}(\log \log n)^{k+1})$ . Thus, induction can be carried out if we set  $c_{k+1} = O(c_k)$ , i.e.,  $c_k = 2^{O(k)}$ . We obtain update and query time  $2^{O(k)}(\log n)^{1+1/k}(\log \log n)^k$ . Setting  $k$  to an arbitrarily large constant is sufficient to give  $O(\log^{1+\varepsilon} n)$ . Better still, setting  $k = \sqrt{\log \log n / \log \log \log n}$  gives:

**Theorem 2.4** *There is a dynamic data structure for 2-d LP queries in the lower envelope of  $n$  lines with  $2^{O(\sqrt{\log \log n \log \log \log n})} \log n$  amortized update time and expected query time.*

**Remarks.** For the above theorem, a naive upper bound on the space complexity is  $2^{O(\sqrt{\log \log n \log \log \log n})} n$ . The version of the data structure with  $O(\log^{1+\varepsilon} n)$  time can guarantee  $O(n)$  space.

It is not important whether  $n$  denotes the number of updates or the size of  $S$ , since we can apply the following standard trick: we maintain a counter for the number of updates, and whenever the

counter exceeds twice the current size of  $S$ , we rebuild by performing  $|S|$  insertions on an empty data structure and reset the counter to  $|S|$ . Since a linear number of updates must occur between two rebuilds, the amortized update time remains the same up to constant factors.

We can slightly simplify the algorithm to compute  $z$  by observing that in each iteration,  $Z$  differs by the insertion of one constraint. If  $z$  does not violate the new constraint, then  $z$  does not change. Otherwise, we know that the new  $z$  lies on the boundary of this new constraint, and it suffices to solve a 1-d LP-type problem on this boundary. (In 1-d, LP reduces to finding the minimum of a set of numbers, and the method becomes similar to the randomized optimization technique in [9].)

**Extension to other queries.** The problem of finding the intersection of the convex hull with an *arbitrary* query line (type-(g) queries) dualizes to the following kind of queries: given a query point  $q$ , find a point  $v$  on  $\text{LE}(S)$  to the right of  $q$  such that the line  $qv$  has the largest slope. This problem is LP-type and Lemma 2.2 is still satisfied (we can first make  $q$  the origin by translation, and then apply a projective transformation  $(x, y) \mapsto (-1/x, y/x)$  to reduce the problem to the LP case with the vertical upward direction). So the same method works.

The same applies to finding the intersection of the convex hull of  $P \cup P'$  with an arbitrary query line for two dynamic point sets  $P$  and  $P'$ . In particular, we can compute the two bridges (i.e., the two outer common tangents) between two disjoint convex hulls if a separating line (e.g., a separating common tangent) is given.

The problem of finding the two separating common tangents between two disjoint convex hulls (to complete the solution of type-(h) queries) dualizes to computing the two intersections between a lower envelope of a set  $S$  of lines and an upper envelope of a set  $S'$  of lines. It suffices to find the left intersection. An analog of Lemma 2.2 (where concerning  $S'$ , we replace “lower/lowest” with “upper/highest”) is still satisfied, and we obtain the same result.

The same applies to LP queries over an intersection of arbitrary (lower and upper) halfplanes, i.e., the region between the lower envelope of a set  $S$  of lines and the upper envelope of a set  $S'$  of lines. Here, the answer can be one of four possibilities: the optimum for the lower envelope alone or for the upper envelope alone, or one of the two intersections between the two envelopes.

The approach here is not applicable to certain types of convex hull queries, for example, maintaining the area or the perimeter of the convex hull, where currently only Overmars and van Leeuwen’s technique is applicable.

### 3 Dynamic Halfplane Range Reporting

In this section, we solve Problem 2, dynamic halfplane range reporting in  $\mathbb{R}^2$ . It suffices to consider upper halfplanes. If the input points are  $(a_i, b_i)$  and the query halfspace is  $y \geq \xi x - \eta$ , then the problem is equivalent to finding all  $i$  with  $b_i \geq \xi a_i - \eta$ . We work in terms of the dual input lines  $\{(\xi, \eta) : \eta = a_i \xi - b_i\}$ , where the problem becomes the following: maintain a set of lines in  $\mathbb{R}^2$  under insertions and deletions so that we can report all lines below a query point  $(\xi, \eta)$ . We work with the following related query problem called *k-lowest-lines queries*: given a query vertical line  $q$  and an integer  $k$ , report the  $k$  lowest lines at  $q$ , in arbitrary order. (In primal space, this corresponds to *k-extreme-points queries*.) Halfplane range reporting reduces to this problem by “guessing”  $k$  (e.g., see [10]): we use an increasing sequence of values for  $k$  and stop when an output line lies above the query point. If *k-lowest-lines queries* can be answered in  $O(\log n + k)$  time, we can use the sequence

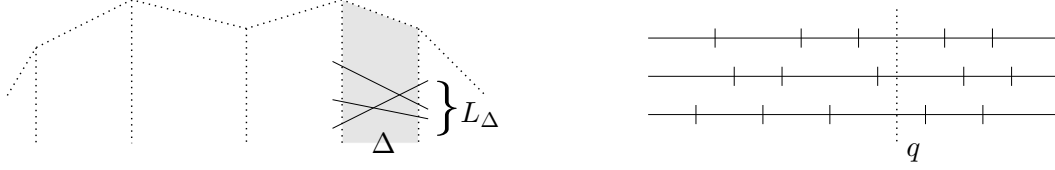


Figure 4: (Left) A cutting  $T_i^{(j)}$ . One cell  $\Delta$  is shaded, for which we store a list  $L_\Delta$  of lines. The data structure consists of  $O(\log n \log_b n)$  such cuttings, one for each  $i = 1, \dots, \lceil \log n \rceil$  and  $j = 1, \dots, O(\log_b n)$ . (Right) The  $x$ -projection of several cuttings  $T_i^{(j)}$ ; a query requires searching among these projected intervals over all  $j$  for a fixed  $i$ .

$k = 2^i \log n$ ,  $i = 0, 1, 2, \dots$  and obtain  $O(\log n + k)$  time for a halfplane range reporting query with output size  $k$ .

**The previous data structure.** The data structure we use is an adaptation of a previous one by the author, originally for dynamic 3-d convex hulls [14]. We encapsulate all the properties we need about the data structure in the theorem below. The theorem itself was obtained from a new deletion-only “partial” data structure involving a hierarchy of *shallow cuttings*, which is then combined using a variation of the logarithmic method. For the details, see the paper [14] along with the small changes noted in the appendix.

**Theorem 3.1** ([14]) *Let  $b \geq 2$  be any fixed value. For a dynamic set  $S$  of lines in  $\mathbb{R}^2$  that is initially empty and undergoes  $n$  insertions and deletions, in  $O(b^{O(1)} \log^6 n)$  expected amortized time, we can maintain a collection of cuttings  $T_i^{(j)}$ ,  $i = 1, \dots, \lceil \log n \rceil$ ,  $j = 1, \dots, O(\log_b n)$  with the following properties (see Figure 4(left)):*

1. *Each cutting  $T_i^{(j)}$  is a set of  $O(2^i)$  interior-disjoint cells, where each cell is a trapezoid containing the point  $(0, -\infty)$ . Each cutting is static, i.e., does not change, although a cutting  $T_i^{(j)}$  may on occasion be replaced with a new one created from scratch. The total size of all cuttings created over time is  $O(b^{O(1)} n \log^4 n)$ .*
2. *Each cell  $\Delta \in T_i^{(j)}$  is associated with a list  $L_\Delta$  of  $O(n/2^i)$  lines of  $S$ . Each list  $L_\Delta$  undergoes deletions only after its creation. The total size of all lists created is  $O(b^{O(1)} n \log^5 n)$ .*
3. *Let  $i_k := \lceil \log(n/Ck) \rceil$  for a sufficiently large constant  $C$ . If a line  $h$  is among the  $k$  lowest lines at a vertical line  $q$ , then for some  $j$ ,  $h$  is in the list  $L_{\Delta^{(j)}}$  of the cell  $\Delta^{(j)} \in T_{i_k}^{(j)}$  intersecting  $q$ .*

The above theorem immediately gives a dynamic method for  $k$ -lowest-lines queries: according to property 3, given a query vertical line  $q$ , we can simply find the cell  $\Delta^{(j)} \in T_{i_k}^{(j)}$  intersecting  $q$  for each  $j$ , search for the  $k$  lowest lines in each list  $L_{\Delta^{(j)}}$  by “brute force”, and return the  $k$  lowest among all the lines found. The  $x$ -projection of each cutting  $T_i^{(j)}$  is a 1-d subdivision, and so we can locate the cell  $\Delta^{(j)}$  for each  $j$  in  $O(\log n)$  by binary search. Since  $|L_{\Delta^{(j)}}| = O(n/2^{i_k}) = O(k)$ , the total query time is  $O((\log n + k) \log_b n)$ . Setting  $b = 2$ , we obtain  $O(\log^6 n)$  amortized expected update time and  $O(\log^2 n + k \log n)$  query time.

**The refined data structure and query algorithm.** To improve the query time, we propose a simple idea: store the lists  $L_\Delta$  in auxiliary data structures. Specifically, we store each  $L_\Delta$  in a data structure that supports  $k$ -lowest-lines queries in  $O(Q_0(|L_\Delta|) + k)$  query time and  $U_0(|L_\Delta|)$  update time. By property 2 of Theorem 3.1, each insertion/deletion causes an  $O(b^{O(1)} \log^5 n)$  amortized number of updates to the lists  $L_\Delta$ , and thus the amortized expected update time becomes

$$U(n) = O(b^{O(1)} \log^6 n + \max_{m \leq n} U_0(m) \cdot b^{O(1)} \log^5 n). \quad (4)$$

Brute force search in  $L_{\Delta^{(j)}}$  can be replaced by a query in an auxiliary structure. The query time becomes

$$Q(n) = O([\log n + Q_0(O(k))] \log_b n + k).$$

The  $\log n \log_b n$  term in the  $Q(n)$  expression is a bottleneck that prevents us from getting optimal query time ( $b$  must be at most polylogarithmic if we are aiming for polylogarithmic update time). The  $\log n \log_b n$  term arises from  $O(\log_b n)$  binary searches to locate the cells  $\Delta^{(j)}$  for all  $j$ .

We need a second idea to speed up these binary searches. We can use dynamic fractional cascading here, but we suggest a simpler alternative: *dynamic interval trees* [6, 29]. For each  $i = 1, \dots, \lceil \log n \rceil$ , we store the intervals of the  $x$ -projection of the cuttings  $T_i^{(j)}$  over all  $j$ 's (see Figure 4(right)) in an interval tree  $\mathcal{T}_i$ . With interval trees, we can support insertions and deletions of intervals in  $O(\log n)$  time and report all  $K$  intervals containing a query point in  $O(\log n + K)$  time. We can thus maintain all the  $\mathcal{T}_i$ 's in additional amortized update time  $O(\log n \cdot b^{O(1)} \log^4 n)$ , and locate the cells  $\Delta^{(j)} \in T_{i_k}^{(j)}$  over all  $j = 1, \dots, \lceil \log_b n \rceil$  in  $O(\log n + \log_b n)$  time. The overall query time is

$$Q(n) = O(\log n + Q_0(O(k)) \log_b n + k). \quad (5)$$

**Analysis.** For  $m \geq \log^{1/\varepsilon} n$ , we use a known method [4, 28] with  $Q_0(m) = O(m^{1-\varepsilon})$  and  $U_0(m) = O(\log m)$ . For  $m < \log^{1/\varepsilon} n$ , we switch to a static method [10, 17] with  $Q_0(m) = O(\log m)$  and  $U_0(m) = m^{O(1)}$ . Substituting into (4) and (5) and setting  $b = \log^\varepsilon n$  give  $U(n) = O(\text{polylog } n)$  and

$$Q(n) = \left\{ \begin{array}{ll} O(\log n + k^{1-\varepsilon} \log n + k) & \text{if } k \geq \log^{1/\varepsilon} n \\ O(\log n + \log k \log n / \log \log n + k) & \text{if } k < \log^{1/\varepsilon} n \end{array} \right\} = O(\log n + k). \quad (6)$$

The update time can be improved by another bootstrapping step. For  $m \geq \log^{1/\varepsilon} n$ , as before we use  $Q_0(m) = O(m^{1-\varepsilon})$  and  $U_0(m) = O(\log m)$ . For  $m < \log^{1/\varepsilon} n$ , this time we use  $Q_0(m) = O(\log m)$  and  $U_0(m) = O(\text{polylog } m)$ . Again we set  $b = \log^\varepsilon n$ . Then  $Q(n) = O(\log n + k)$  as in (6), but (4) now gives  $U(n) = O(\log^{6+O(\varepsilon)} n)$ .

**Theorem 3.2** *There is a dynamic data structure for 2-d halfplane range reporting with  $O(\log^{6+\varepsilon} n)$  amortized expected update time and  $O(\log n + k)$  query time.*

The space usage is proportional to the total current size of all the lists  $L_\Delta$ , which is  $O(n \log n)$  [14]. Perhaps additional ideas could lower the  $O(n \log n)$  space bound; we leave this as an open problem.

**Extensions to 3-d and dominance/orthogonal range reporting.** For 3-d halfspace range reporting, finding the cell  $\Delta^{(j)}$  reduces to 2-d point location in the  $xy$ -projection of the cutting  $T_i^{(j)}$ . This time, we do not know how to improve the  $\log n \log_b n$  term arising from the  $O(\log_b n)$  planar point location queries. For  $b = \log^\varepsilon n$ , the query time is now  $O(\log^2 n / \log \log n + k)$ .

The 3-d dominance range reporting problem can be solved by the same techniques as 3-d halfspace range reporting, due to similarity of lower envelopes of planes and lower envelopes of dominance ranges (orthants); e.g., see [1]. Cells are now axis-aligned boxes, and the required planar point location queries are for orthogonal subdivisions. By a recent result of the author [15], 2-d orthogonal point location can be solved in  $O(\log \log n)$  time with  $O(n)$  preprocessing, if we are given the  $x$ - and  $y$ -ranks of the query point with respect to the given subdivision. We can again use dynamic interval trees (for  $x$  and  $y$ ) to search for the  $x$ - and  $y$ -ranks of the query point with respect to  $T_{i_k}^{(j)}$  over all  $j = 1, \dots, \lceil \log_b n \rceil$  in  $O(\log n + \log_b n)$  time. So, the  $\log n \log_b n$  term reduces to  $O(\lceil \log_b n \rceil + \log \log n \log_b n)$ . For  $b = \log^\varepsilon n$ , the query time is thus  $O(\log n + k)$ .

The 3-d  $j$ -sided orthogonal range reporting problem reduces to 3-d  $(j - 1)$ -sided range reporting by standard binary divide-and-conquer (e.g., see [30]), where the update time (but not the query time) increases by a logarithmic factor. Thus, 3-d general (6-sided) orthogonal range reporting reduces to 3-d dominance range reporting, where the update time increases by a  $\log^3 n$  factor.

In higher dimensions, general orthogonal range reporting reduces to range reporting in one dimension lower by a dynamic  $b$ -ary range tree [6, 29], where the update time increases by a factor of  $b^{O(1)} \log_b n$  and the query time increases by a factor of  $\log_b n$ . We can set  $b = \log^\varepsilon n$  to keep the update time polylogarithmic.

**Theorem 3.3** *There is a dynamic data structure for 3-d halfspace range reporting with  $O(\log^{6+\varepsilon} n)$  amortized expected update time and  $O(\log^2 n / \log \log n + k)$  query time. There is a dynamic data structure for 3-d dominance range reporting with  $O(\log^{6+\varepsilon} n)$  amortized expected update time and  $O(\log n + k)$  query time. There is a dynamic data structure for  $d$ -d orthogonal range reporting for any constant  $d \geq 3$  with  $O(\log^{d+6+\varepsilon} n)$  amortized expected update time and  $O((\log n / \log \log n)^{d-3} \log n + k)$  query time.*

## 4 Semi-Dynamic Lower Envelopes of Line Segments

In this section, we solve Problem 3. The problem is to maintain a set  $S$  of  $n$  line segments under insertions to answer the following types of queries (which we call type-(v) and type-(vi) queries in the Introduction):

- *segment query*: decide whether a query line segment is completely above the lower envelope  $\text{LE}(S)$ ; and
- *ray shooting query*: given a query ray originating from a point above  $\text{LE}(S)$ , find the first point on  $\text{LE}(S)$  that is hit by the ray.

Segment queries can be viewed as a decision version of ray shooting queries. Interestingly, our update algorithm will rely on our query algorithm in a crucial way.

**Preliminaries.** To develop intuition, it is helpful to keep in mind the special case of a segment query where the segment is a line. Here, the query is equivalent to deciding whether a line is completely above the upper hull of  $\text{LE}(S)$ , denoted  $\text{UH}(\text{LE}(S))$ . Although  $\text{LE}(S)$  can change drastically in an insertion, we will show that  $\text{UH}(\text{LE}(S))$  is easier to update.

One ingredient we use is Overmars and van Leeuwen’s *hull tree* structure [33]. A hull tree for a point set consists of a pointer to a hull tree for the subset of points to the left of a dividing vertical line  $\ell$ , a pointer to a hull tree for the subset of points to the right of  $\ell$ , and a pointer to the bridge at  $\ell$  (the common tangent of the two subhulls). Standard operations such as intersecting a hull with a line and merging two vertically separated hulls can be performed by binary search in logarithmic time if the heights of the hull trees are logarithmically bounded [33, 34].

Another tool we need is a standard data structure for storing a set of line segments—the *segment tree* [34]. Our data structure will involve an unusual adaptation of the segment tree. Recall the following standard definitions: given a segment  $s$  that intersects a vertical slab  $\sigma$ ,  $s$  is *short* in  $\sigma$  if at least one endpoint of  $s$  is inside  $\sigma$ ;  $s$  is *long* otherwise (i.e., if  $s$  completely cuts across  $\sigma$ ). A traditional segment tree can be recursively described as follows: given a set  $S$  of line segments and a vertical slab  $\sigma$  (at the root,  $\sigma$  is the entire plane), we store the set  $S_{\text{long}}$  of all long segments of  $S$  at the current tree node, divide  $\sigma$  into two subslabs  $\sigma_1$  and  $\sigma_2$ , and recursively build the data structure for the set  $S_i$  of all short segments of  $S$  intersecting the subslab  $\sigma_i$  for each  $i \in \{1, 2\}$ . Our data structure will be more intricate: first, we need to use a tree of degree  $b$  higher than 2 and maintain auxiliary data structures concerning  $S_{\text{long}}$ ; second, and more intriguingly, because we are unable to handle all the long segments directly, we need to pass a certain (hopefully small) number of long segments to the sets  $S_i$  to be handled recursively.

**The data structure.** Let  $b$  be a fixed value to be set later. Let  $P$  be a given set of points (possible endpoints of line segments). Given a set  $S$  of line segments whose endpoints are from  $P$  and a vertical slab  $\sigma$  with at most  $m$  points of  $P$  inside, we describe our data structure for  $S$  and  $\sigma$  recursively as follows (at the root,  $\sigma$  is the entire plane):

- Let  $S_{\text{short}} = \{s \in S \mid s \text{ is short in } \sigma\}$  and  $S_{\text{long}} = \{s \in S \mid s \text{ is long in } \sigma\}$ .
- Store the concave chain  $L = \text{LE}(S_{\text{long}}) \cap \sigma$  in a standard search tree. For each  $s \in S_{\text{long}}$ , define its *reduced segment*  $\tilde{s}$  to be the segment delimited by the leftmost point and the rightmost point on  $s$  lying on  $\text{LE}(S) \cap \sigma$ , if such points exist. Observe that the reduced segments all lie on  $L$ . See Figure 5(left).
- If  $m > 0$ , divide  $\sigma$  into  $O(b)$  subslabs  $\sigma_1, \sigma_2, \dots$  (indexed from left to right), each with at most  $m/b$  points of  $P$  inside. For each  $\sigma_i$ :
  - Recursively store a data structure for a subset  $S_i \subseteq S$  and the subslab  $\sigma_i$ , where we maintain the invariant

$$S_i \supseteq \{s \in S_{\text{short}} \mid s \text{ intersects } \sigma_i\} \cup \{s \in S_{\text{long}} \mid \tilde{s} \text{ is short in } \sigma_i\}.$$

- Observe that there is at most one segment  $s \in S_{\text{long}}$  such that  $\tilde{s}$  is long in  $\sigma_i$ . If such an  $s$  exists, call  $s$  the *special segment* of  $\sigma_i$  and mark  $\sigma_i$  as *special*. See Figure 5(middle).

Clearly,  $S_i$  includes all segments that participate in  $\text{LE}(S) \cap \sigma_i$ , except possibly for the one special segment. In particular, if  $\sigma_i$  is not special, then  $\text{LE}(S) \cap \sigma_i = \text{LE}(S_i) \cap \sigma_i$ . On the other

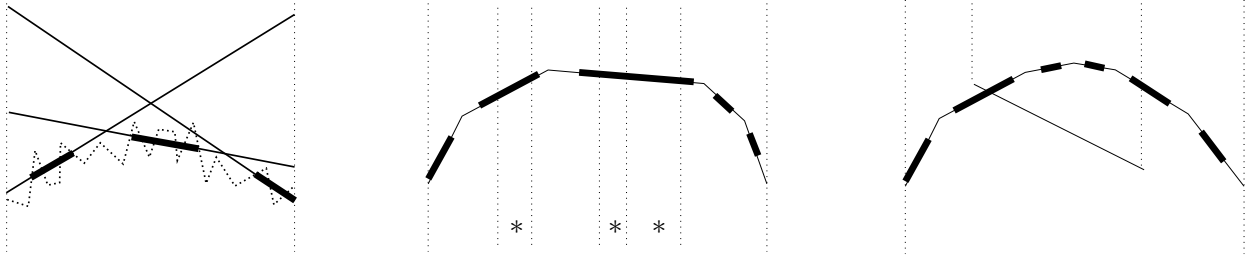


Figure 5: (Left) The long segments are shown as solid lines cutting across a vertical slab. The lower envelope of the short segments are drawn “abstractly” in dotted lines. The reduced segment of each long segment is highlighted in bold. (Middle) Another example showing just the lower envelope  $L$  of the long segments, together with the reduced segments all lying on  $L$ ; subslabs marked  $*$  are special. (Right) Inserting a new segment causes the recomputation of two existing reduced segments.

hand, if  $\sigma_i$  is special, then no vertices inside  $\sigma_i$  can participate in  $\text{UH}(\text{LE}(S) \cap \sigma)$ , since the reduced special segment is an edge of this upper hull and has endpoints outside  $\sigma_i$ .

- Store  $U = \text{UH}(\text{LE}(S) \cap \sigma)$  in a hull tree of logarithmic height. By the above observations, special slabs do not affect  $U$  and we have the key identity

$$U = \text{UH} \left( \bigcup_{\sigma_i \text{ not special}} \text{UH}(\text{LE}(S_i) \cap \sigma_i) \right). \quad (7)$$

- Finally, store the subhulls  $U_{j,k} = \text{UH}(\bigcup_{i=j}^k \text{UH}(\text{LE}(S_i) \cap \sigma_i))$  in a hull tree of logarithmic height for each index pair  $j, k$ .

Let  $n$  denote the maximum number of segments overall.

**Segment queries.** We can decide whether a query segment  $s$  is completely above  $\text{LE}(S) \cap \sigma$  as follows:

1. Compute the intersection of  $s$  with the region underneath  $L$ ; the result is a clipped segment which we denote by  $s'$ .

It suffices to decide whether  $s'$  is completely above  $\text{LE}(S_{\text{short}}) \cap \sigma$ . This is equivalent to deciding whether  $s'$  is completely above  $\text{LE}(S_i) \cap \sigma_i$  for every subslab  $\sigma_i$  intersected by  $s'$ ; this will be described in the next step. (If  $m = 0$ , the answer is yes iff  $s' = \emptyset$ .)

2. Suppose that  $s'$  is short in two subslabs, say,  $\sigma_j$  and  $\sigma_k$ . (The case where  $s'$  is short in just one subslab is similar.) Directly decide whether  $s'$  is above  $U_{j+1,k-1}$ , and recursively decide whether  $s'$  is completely above  $\text{LE}(S_j) \cap \sigma$  and  $\text{LE}(S_k) \cap \sigma$ . Then the answer to the original query is yes iff the answers to these queries are all yes.

For the analysis, note that computing  $s'$  (intersecting a concave chain with a line) and querying  $U_{j+1,k-1}$  (intersecting a hull with a line) can be handled by binary search in logarithmic time [33, 34]. Letting  $Q_{\text{seg}}(m)$  denote the query time for a slab  $\sigma$  with at most  $m$  points of  $P$ , we obtain the recurrence

$$Q_{\text{seg}}(m) = 2Q_{\text{seg}}(\lfloor m/b \rfloor) + O(\log n),$$

with  $Q_{\text{seg}}(0) = O(\log n)$ . This recurrence solves to  $Q_{\text{seg}}(m) = O(2^{\log_b m} \log n)$ .

**Ray shooting queries.** Given a query ray originating from above  $\text{LE}(S) \cap \sigma$ , we can find the first point on the ray that lies on or  $\text{LE}(S) \cap \sigma$  as follows (we could use parametric search [3] but the following is simpler):

Use binary search and the segment query algorithm to identify the slab  $\sigma_i$  containing the desired point. Then recursively answer the query in  $\sigma_i$ . (If  $m = 0$ , the answer can be found directly by a ray shooting query on  $L$ .)

The query time  $Q_{\text{ray}}(m)$  then obeys the recurrence

$$Q_{\text{ray}}(m) = Q_{\text{ray}}(\lfloor m/b \rfloor) + O(\log b)Q_{\text{seg}}(m),$$

with  $Q_{\text{ray}}(0) = O(\log n)$ . This yields  $Q_{\text{ray}}(m) = O(2^{\log_b m} \log b \log n)$ .

**Segment insertion.** The insertion of a new segment  $s$  proceeds in two stages: first, the two endpoints of  $s$  are inserted to  $P$ ; second, the segment  $s$  are inserted to  $S$  under the assumption that the two endpoints of  $s$  are already in  $P$ . Below is the algorithm for the second stage (segment insertion to  $S$ ); we will describe the algorithm for the first stage (endpoint insertion to  $P$ ) later.

For a given segment  $s$  and slab  $\sigma$ :

1. Compute the (at most two) intersections of  $L$  with  $\partial\tau$ , where  $\tau$  denotes the region above  $s$  (an unbounded trapezoid). See Figure 5(right).
2. For each  $s' \in S_{\text{long}}$  defining an intersection found in step 1, recompute the reduced segment  $\tilde{s}'$  and recursively insert  $s'$  to  $S_i$  for each slab  $\sigma_i$  where  $\tilde{s}'$  is short. Observe that other reduced segments need not be updated, as those completely inside  $\tau$  are now non-existent and those completely outside  $\tau$  are unchanged.
3. If  $s$  is long in  $\sigma$ , compute the reduced segment  $\tilde{s}$  and recursively insert  $s$  to  $S_i$  for each slab  $\sigma_i$  where  $\tilde{s}$  is short. Update  $L$ .
4. If  $s$  is short in  $\sigma$ , recursively insert  $s$  to  $S_i$  for each slab  $\sigma_i$  intersected by  $s$ .
5. Update the specialness marks of the slabs.
6. Update  $U$  by repeated merging according to (7). Similarly update the  $U_{j,k}$ 's.

We now analyze the cost of this algorithm. Step 1 takes logarithmic time by binary search on a concave chain. There are at most two candidates for  $s'$  and thus at most four<sup>3</sup> recursive calls in step 2; there are at most two recursive calls in step 3. The computation of a reduced segment (steps 2 and 3) corresponds precisely to answering a ray shooting query in  $\text{LE}(S) \cap \sigma$  from each of the two endpoints, a problem which we have conveniently solved. The concave chain  $L$  can be updated in logarithmic (worst-case) time by splitting at the (at most two) intersections of  $L$  with  $s$  (as in a standard insertion-only algorithm for convex hulls [34] when dualized). Step 4 requires  $O(b)$  recursive calls, but the inserted segment is short in at most two of the slabs in these calls. Step 5 can be done in  $O(b)$  time. In step 6, each hull tree  $U$  or  $U_{j,k}$  can be computed naively by  $O(b)$  merges of existing hull trees at the slabs, in  $O(b \log n)$  time. (Note that these  $O(b^2)$  trees may

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<sup>3</sup>Actually, at most two of the four endpoints of the reduced segments can change, so the number of recursive calls here can be reduced to two.



share common subtrees, so the entire data structure is not a tree but a dag; this does not affect the query algorithms.) We can indeed guarantee that the maximum height of the hull trees is  $O(\log n)$  if the  $O(b)$  merges are done in a balanced fashion, so that the height increase is  $O(\log b)$  at each of the  $O(\log_b m)$  levels of the recursion.

Letting  $U_{\text{long}}(m)$  (resp.  $U_{\text{short}}(m)$ ) denote the insertion time when the given segment  $s$  is long (resp. short) in  $\sigma$ , we obtain the following pair of recurrences:

$$\begin{aligned} U_{\text{long}}(m) &= 6U_{\text{long}}(\lfloor m/b \rfloor) + O(Q_{\text{ray}}(m) + b^3 \log n) \\ U_{\text{short}}(m) &= 2U_{\text{short}}(\lfloor m/b \rfloor) + O(r)U_{\text{long}}(\lfloor m/b \rfloor) + O(Q_{\text{ray}}(m) + b^3 \log n), \end{aligned}$$

with  $U_{\text{long}}(0), U_{\text{short}}(0) = O(\log n)$ . The first recurrence solves to  $U_{\text{long}}(m) \leq 2^{O(\log_b m)} b^{O(1)} \log n$ . The second recurrence then gives  $U_{\text{short}}(m) \leq 2^{O(\log_b m)} b^{O(1)} \log n$ . We conclude that a segment can be inserted in  $U_{\text{seg}}(m) \leq 2^{O(\log_b m)} b^{O(1)} \log n$  time assuming that endpoints have already been inserted.

**Endpoint insertion.** To complete the description of the insertion algorithm, we now describe how to insert a new endpoint  $p$  to the set  $P$  (the first stage). We use a standard partial-rebuilding technique [29, 32]. For a given point  $p$  in a slab  $\sigma$ :

1. Recursively insert  $p$  in the subslab  $\sigma_i$  containing  $p$ .
2. If the number of points of  $P$  inside  $\sigma_i$  exceeds  $m/r$ , then rebuild the data structure at  $\sigma$  in a manner where each slab is divided into  $2r$  subslabs with equal number of points of  $P$  inside, and all segments in  $S$  are then reinserted from scratch.

Note that the rebuilding step requires  $O(m)$  segment insertions but can occur only after at least  $\lfloor m/2b \rfloor$  endpoint insertions. The amortized cost of each endpoint insertion,  $U_{\text{endpt}}(m)$ , thus satisfies

$$U_{\text{endpt}}(m) = U_{\text{endpt}}(\lfloor m/b \rfloor) + O((b/m) \cdot mU_{\text{seg}}(m)),$$

which solves to  $U_{\text{endpt}}(m) \leq 2^{O(\log_b m)} b^{O(1)} \log n$ .

Finally, setting  $b = n^\epsilon$  gives  $Q_{\text{seg}}(m) = O(\log n)$ ,  $Q_{\text{ray}}(m) = O(\log^2 n)$ , and  $U_{\text{seg}}(m), U_{\text{endpt}}(m) = O(n^{O(\epsilon)})$ . Alternatively, setting  $r = 2^{\sqrt{\log n}}$  gives  $Q_{\text{seg}}(m), Q_{\text{ray}}(m), U_{\text{seg}}(m), U_{\text{endpt}}(m) = 2^{O(\sqrt{\log n})}$ .

**Theorem 4.1** *There is an insertion-only data structure for Problem 3 with  $O(\log n)$  time for segment queries,  $O(\log^2 n)$  time for ray shooting queries, and  $O(n^\epsilon)$  amortized insertion time. Alternatively, we can obtain  $2^{O(\sqrt{\log n})}$  query and amortized insertion time.*

The space usage is  $2^{O(\log_b m)} n$ , which is  $O(n)$  in the first structure and  $2^{O(\sqrt{\log n})} n$  in the second structure of the above theorem.

**Extension to the semi-online dynamic case.** Our data structure does not seem to cope with arbitrary deletions well, because a single deletion may reveal a large number of new reduced segments in unpredictable ways. However, we can extend the insertion-only result to the *semi-online dynamic* setting, where both insertions and deletions are allowed but during each insertion, we are told the position of the corresponding deletion in the update sequence. This extension follows from a general simple transformation, using a segment tree of time intervals. The segment tree idea has been

used before for offline [22] and semi-online [21, 23] dynamic data structures for decomposable search problems, but the formulation in the lemma below for general non-decomposable problems has not been explicitly stated before, to the author’s knowledge:

**Lemma 4.2** *Given a data structure for a problem that supports insertions and the undo operation (i.e., deletion of the most recent element in the current set) in  $O(U(n))$  time, there is a semi-online dynamic data structure with  $O(U(n) \log n)$  amortized update time.*

**Proof:** We first consider the design of an offline data structure. Given an offline sequence of  $n$  updates, build a segment tree [34] over the time intervals of the elements (the left/right endpoint correspond to the insertion/deletion time of an element), where the  $i$ -th leaf  $v_i$  (in left-to-right order) correspond to the  $i$ -th update in the sequence. Each time interval is stored in  $O(\log n)$  nodes in the tree. Let  $S_v$  denote the subset of elements whose time intervals are stored at node  $v$  of the tree. The current set at the time of the  $i$ -th update is equal to the (disjoint) union of  $S_v$  over all nodes  $v$  from the root to the leaf  $v_i$ .

At the  $i$ -th update, let  $u$  be the lowest common ancestor between  $v_{i-1}$  and  $v_i$ . We can execute the update simply by undoing the insertions of the elements in  $S_v$  over all nodes  $v$  from  $v_{i-1}$  up to  $u$ ’s left child, then inserting the elements in  $S_v$  over all nodes from  $u$ ’s right child down to  $v_i$ . The total number of insertions/undos is equal to the total size of all the  $S_v$ ’s, which is  $O(n \log n)$ . This implies the  $O(U(n) \log n)$  amortized bound.

The same reduction works in the semi-online case, by building the segment tree online. At the time of the  $i$ -th update, we know  $S_v$  for all nodes  $v$  from the root to  $v_i$ , because deletion times are given for all the elements inserted so far.  $\square$

The above lemma is applicable to our data structure, because it supports undos. The main observation is that our insertion time bound is worst-case, not amortized, if we ignore endpoint insertion. Thus, by keeping a transcript of the changes made during each insertion, we can undo an insertion with the same cost. We do not need to undo endpoint insertions in  $P$ , since extra endpoints in  $P$  do not affect the correctness of the query algorithm. (Besides, the endpoint insertion part can be de-amortized by standard techniques [29, 32].) The extra log factor is absorbed by the  $n^\epsilon$  or  $2^{O(\sqrt{\log n})}$  bound.

**Application to partial visibility in terrains.** Given a triangulated terrain  $T$  in  $\mathbb{R}^3$  of size  $n$  and a viewpoint  $q$ , we consider the problem of identifying all faces of  $T$  which are partially visible from  $q$ .

First, we observe that it suffices to address the case where  $q = (-\infty, 0, 0)$ . To see this, first we can make  $q$  the origin by translation. It suffices to consider the part of the terrain inside  $\{(x, y, z) : x > 0\}$ , since the other part can be handled symmetrically. Apply a projective transformation  $(x, y, z) \mapsto (-1/x, y/x, z/x)$ . Vertical lines are mapped to vertical lines, so the terrain remains a terrain. Lines through the origin are mapped to lines parallel to the  $x$ -axis, i.e., lines through the point  $(-\infty, 0, 0)$ .

Let  $f_1, \dots, f_n$  be the faces of the terrain and let  $\hat{f}_i$  denote the projection of  $f_i$  onto the  $xy$ -plane. First find an ordering of  $f_1, \dots, f_n$  such that whenever  $\hat{f}_i$  is to the left of  $\hat{f}_j$  along some horizontal line, we have  $i < j$ . Since the  $\hat{f}_i$ ’s are disjoint 2-d convex sets (triangles), such a permutation, called a *depth order*, is known to exist and can be computed in  $O(n \log n)$  time [25].

To test the partial visibility of  $f_i$  from  $q = (-\infty, 0, 0)$ , we only need to consider obstructions caused by  $f_1, \dots, f_{i-1}$ . More precisely, let  $f'_i$  be the region below  $f_i$  (an unbounded tetrahedron) and let  $f''_i$  be the projection of  $f'_i$  onto the  $yz$ -plane (a union of at most two unbounded trapezoids). Then  $f_i$  is partially visible iff  $f''_i$  is not completely contained in  $f''_1 \cup \dots \cup f''_{i-1}$ , i.e.,  $\partial f''_i$  is not completely below the upper envelope of  $\partial f''_1, \dots, \partial f''_{i-1}$ . Thus, we can determine whether  $f_i$  is partially visible for all  $i$  by inserting the  $O(n)$  segments  $\partial f''_1, \dots, \partial f''_n$  in that order to a dynamic 2-d upper envelope data structure, and answering  $O(n)$  segment queries. By Theorem 4.1, the problem can be solved in  $n2^{O(\sqrt{\log n})}$  time.

## Acknowledgements

I am grateful to Pankaj Agarwal for bringing both Problem 2 and Problem 3 (as well as the application to the terrain visibility problem) to my attention. Thanks also to Esther Ezra for discussion on Problem 3, to Kostas Tsakalidis for asking about dynamic 3-d dominance searching, and to the anonymous referees for their constructive comments.

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## A Appendix: On Theorem 3.1

The constant  $b$  case of Theorem 3.1 follows directly from the the dynamic 3-d convex hull method in [14], after specialization to 2-d, as we now explain. We assume that the reader has the paper [14] handy. The cuttings  $T_i^{(j)}$  are just the  $T_i$ 's from the paper, taken over each of the  $O(\log n)$  partial data structures  $S$ . The list  $L_\Delta$  corresponds to  $(S_{\text{live}})_\Delta$ .

The proof of [14, Lemma 6.1] shows that in a partial data structure, if  $h$  is among the  $k$  lowest lines of  $S$  at a vertical line  $q$  and  $h \in S_{\text{live}}$ , then  $h \cap q$  lies inside the cell  $\Delta \in T_{\lceil \log(|S|/8ck) \rceil}$  that intersects  $q$ ; in particular,  $h \in (S_{\text{live}})_\Delta$  for this cell  $\Delta$ . Hence, property 3 of Theorem 3.1 follows. (Minor note: for  $|S| \ll n$ , indices  $i$  of the  $T_i$ 's technically should be shifted to match the setup in Theorem 3.1.)

The generalization for nonconstant  $b$  requires only a few adjustments of parameters. In line 4 of `construct( $S$ )` from [14, Section 3], we replace  $4c' \lceil \log n \rceil$  with  $(2c'/\alpha) \lceil \log n \rceil$  for an appropriate parameter  $\alpha$ . This would guarantee that `construct( $S$ )` puts at least  $(1 - \alpha)n$  planes in  $S_{\text{live}}$ . In [14, Section 4], we change the definition of depth from  $\lceil \log |S_{\text{live}}| \rceil$  to  $\lceil \log_b |S_{\text{live}}| \rceil$ , to ensure that the number of partial data structures at any time is  $O(\log_b n)$ . We merge whenever there are 2 (rather than 16) subsets of the same depth. (Note the different meaning of  $b$  in the paper.) In the proof of [14, Theorem 4.1(a)], the potential increase caused by an insertion is now given by the following expression, where  $p = |S_{\text{live}}^{(1)}| + |S_{\text{live}}^{(2)}|$  and  $b^k \leq |S_{\text{live}}^{(1)}|, |S_{\text{live}}^{(2)}| < b^{k+1}$  (which imply  $|S_{\text{live}}^{(1)}|, |S_{\text{live}}^{(2)}| \leq \frac{b}{b-1}p$ ):

$$\begin{aligned} & \sum_{j=0}^{\infty} (1 - \alpha) \alpha^j p \log[(1 - \alpha) \alpha^j p] - \sum_{i=1}^2 |S_{\text{live}}^{(i)}| \log |S_{\text{live}}^{(i)}| \\ & \geq (1 - \alpha) p \log[(1 - \alpha) p] \sum_{j=0}^{\infty} \alpha^j - (1 - \alpha) p \log(1/\alpha) \sum_{j=0}^{\infty} j \alpha^j - p \log[bp/(b + 1)] \\ & = p \log[(1 - \alpha) p] - [\alpha p \log(1/\alpha)] / (1 - \alpha) - p \log[bp/(b + 1)] = \Omega(p/b), \end{aligned}$$

by setting  $\alpha = 1/b^{1+\epsilon}$ , for example. The rest of the amortized analysis thus goes through after readjusting bounds by polynomial factors in  $b$ .