

# Optimal In-Place Algorithms for 3-d Convex Hulls & 2-d Segment Intersection

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# History Recap: 3-d Convex Hull Alg'ms

- $O(n \log n)$  time,  $O(n)$  space

[Preparata,Hong'77] by divide&conquer

[Clarkson,Shor'88] by rand. incremental

[Fortune'86] by sweep (for 2-d Voronoi diagrams)



Sublinear space ??

CONSTANT space !!

# In-Place Alg'ns

- Example: heapsort
- The model

array of  $n$  elements (RAM, read/write)

extra words  
of space

(+ write-only output stream)

# Known In-Place Results/Techniques

- In-place merging
  - $O(n)$  time,  $O(1)$  space [**'80s**]
- In-place stable partitioning
  - $O(n)$  time,  $O(1)$  space [**'80s**]
- In-place sorting with  $O(n)$  moves
  - $O(n \log n)$  time,  $O(1)$  space [**Franceschini,Geffert'03**]

# Known In-Place Results/Techniques

- In-place/"implicit" data structures for searching
  - $O(\log^2 n)$  query/update time/space [Munro'84]
  - $O(\log^2 n / \log \log n)$  " " [Franceschini, Grossi, Munro, Pagli'02]
  - $O(\log n \log \log n)$  " " [Franceschini, Grossi'03]
  - $O(\log n)$  " " ,  $O(1)$  space [Franceschini, Grossi'03]
- Recent renaissance
  - In-place radix-sort ['07], in-place suffix sorting ['09], ...



# Known In-Place CG Alg'ms

- 2-d convex hull
  - $O(n \log h)$  time,  $O(1)$  space  
[Brönnimann,Iacono,Katajainen,Morin,Morrison,Toussaint'02]
  - Simple polygonal chains:  $O(n)$  time,  $O(1)$  space  
[Brönnimann,Chan'04]
- 2-d maxima layers
  - $O(n \log n)$  time,  $O(1)$  space [Blünck,Vahrenhold'06]
- 2-d red/blue closest pair
  - $O(n \log n)$  time,  $O(1)$  space  
[Bose,Maheshwari,Morin,Morrison,Smid,Vahrenhold'04] by  
simple divide&conquer

...

# Known In-Place CG Alg'ms (Cont'd)

- 2-d orthogonal segment intersection
  - $O(n \log n + K)$  time,  $O(1)$  space  
[Bose, Maheshwari, Morin, Morrison, Smid, Vahrenhold'04] by simple divide&conquer
- 2-d segment intersection
  - $O((n + K) \log^2 n)$  time,  $O(\log^2 n)$  space  
[Chen, Chan, CCCG'03] by modifying Bentley-Ottmann
  - $O(n \log^2 n + K)$  time,  $O(1)$  space [Vahrenhold, WADS'05] by modifying Balaban

# Known In-Place CG Alg'ms (Cont'd)

- 3-d convex hull
  - $O(n \log^3 n)$  time,  $O(1)$  space  
[Brönnimann,Chan,Chen,SoCG'04] by clever divide&conquer
- 2-d nearest neighbor search
  - $O(\log^2 n)$  time,  $O(1)$  space [Brönnimann,Chan,Chen,SoCG'04]
  - $O(\log^{1.7096} n)$  time,  $O(1)$  space [Chan,Chen,SODA'08]

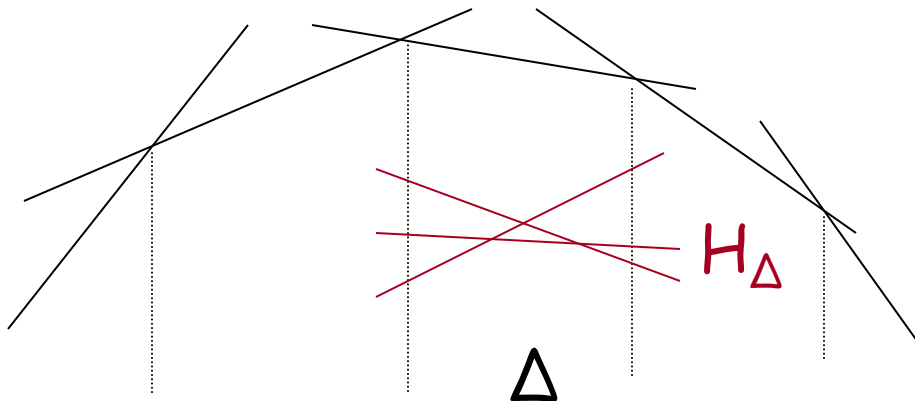
# New Results

- 3-d convex hulls
  - $O(n \log n)$  time (rand.),  $O(1)$  space
- 2-d segment intersection
  - $O(n \log n + K)$  time (rand.),  $O(1)$  space

OPTIMAL !!

# 3-d Convex Hulls: Preliminaries

- **Dual problem:** Given  $n$  planes  $H$  in 3-d, output the vertices of the lower envelope (LE) of  $H$
- **Basic rand. divide&conquer approach:**
  - Take sample  $R$  of size  $r$
  - For each cell  $\Delta$  of "canonical triangulation" of LE of  $R$ :
    - Compute "conflict list"  $H_\Delta =$  all planes intersecting  $\Delta$
    - Recursively compute LE of  $H_\Delta$  inside  $\Delta$



$\Rightarrow O(r)$  subproblems  
of size  $\sim O(n/r)$   
(by **Clarkson, Shor**)

# An Intermediate Model: "Permutation+Bits"

array of  $n$  elements

extra array  
of bits

- Allow possibly **large** ( $O(n \text{ polylog } n)$ ) # of extra bits
- But each **bit access** costs  $O(1)$  time
- **Note:** pointers can be stored in the array of bits, but each pointer op would cost  $O(\log n)$
- **Ex:** binary search in an arbitrary list now costs  $O(\log^2 n)$

# Permutation+Bits Implies In-Place

- **Reduction 1:**  $S(n)$  bits of space  $\Rightarrow \sim S(n/\log n)$  bits

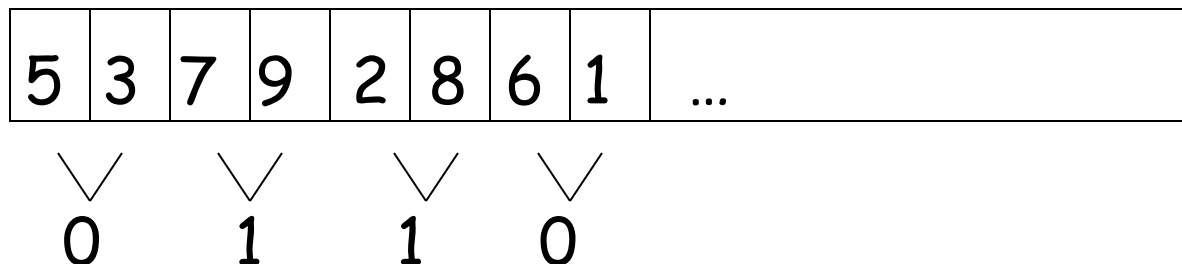
**Pf:** Take sample of **small** size  $r \sim \log n$

Solve each subproblem one by one

Conflict lists computable in  $O(n \log n)$  time

- **Reduction 2:**  $\epsilon n$  bits of space  $\Rightarrow$  in-place

**Pf:** By "bit-encoding trick" (permuting pairs)



# CH Alg'ms in the Permutation+Bits Model

- Standard divide&conquer alg'm:

$$T(n) = 2T(n/2) + O(n) \quad \text{in standard model}$$

$$T(n) = 2T(n/2) + O(n \log n) \quad \text{in permutation+bits model}$$

$$\Rightarrow T(n) = O(n \log^2 n)$$

- New idea: can't reduce overhead  $O(n \log n)$ , but try to divide into larger # of subproblems in  $O(n \log n)$  time...



# Our CH Alg'm in Permutation+Bits Model

- Take sample of **large** size  $r$
- For each plane  $h$ , can determine the conflict lists that  $h$  participates in, by **point location**

$$\Rightarrow T(n) = O(r) T(n/r) + O(\text{time for } n \text{ pt location queries in 2-d subdivision of size } O(r))$$

- **Standard point location methods:**
  - $O(\log r)$  query time in standard model
  - $O(\log^2 r)$  query time in permutation+bits model
  - TOO MUCH !!

# Our Method for Point Location

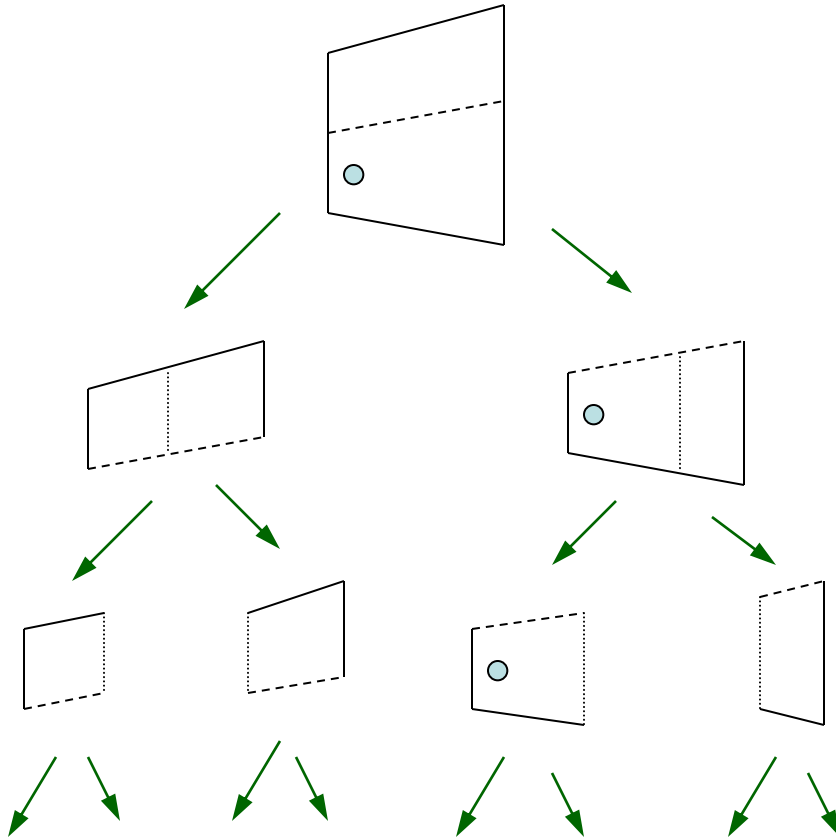
- Modify a known pt location method?
  - Lipton, Tarjan's separator method
  - Kirkpatrick's hierarchical method
  - Preparata's trapezoid method
  - Edelsbrunner, Guibas, Stolfi's chain method
  - Sarnak, Tarjan's persistent search trees
  - Mulmuley's rand. incremental method
  - ...

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  - Preparata's trapezoid method      ← BINGO !!
  - Edelsbrunner, Guibas, Stolfi's chain method
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# Our Method for Point Location

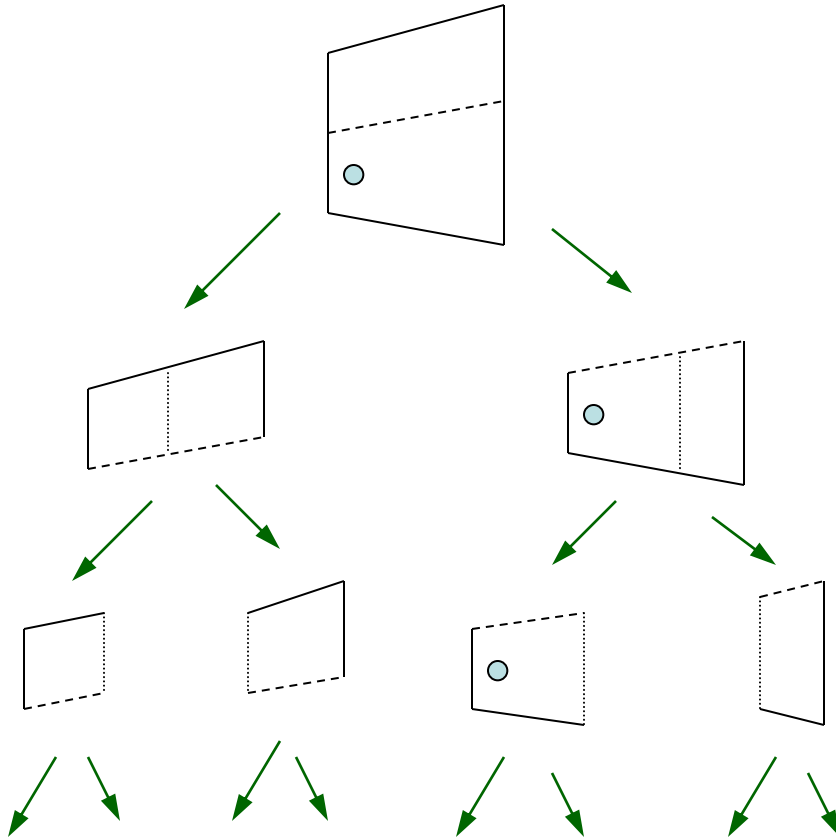
- Modify Preparata's trapezoid method:



tree of  
height  $O(\log r)$   
size  $O(r \log r)$

# Our Method for OFFLINE Point Location

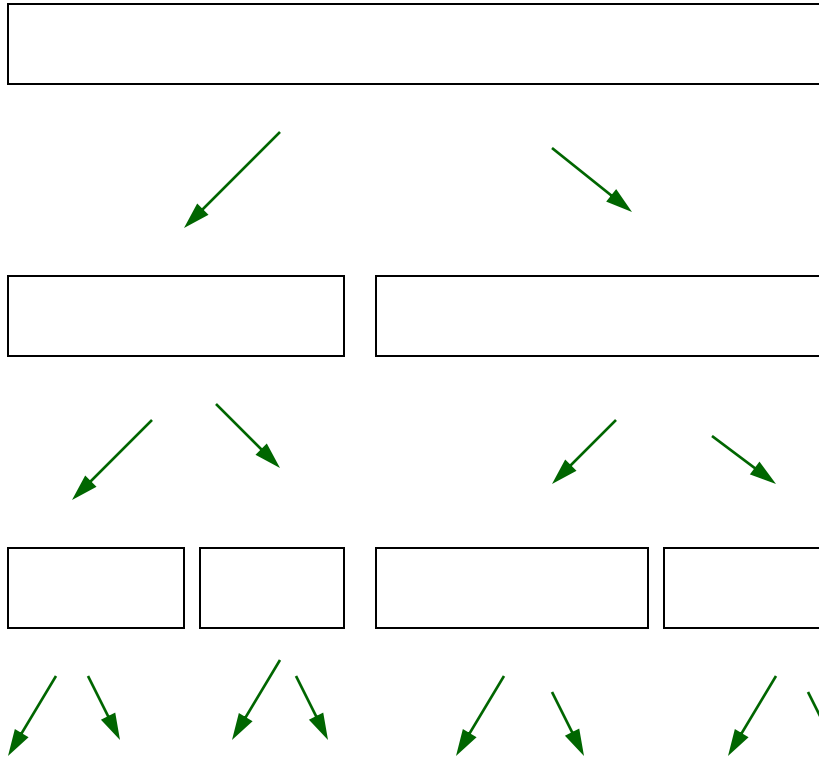
- Modify Preparata's trapezoid method:



tree of  
height  $O(\log r)$   
size  $O(r \log r)$

# Our Method for OFFLINE Point Location

- Modify Preparata's trapezoid method:



cost of partitioning  
=  $O(n \log r)$

# pointer ops =  $O(r \log r)$

cost of pointer ops  
=  $O(r \log^2 r)$

(note: similarity to quicksort)

# Final Analysis

$$\Rightarrow T(n) = O(r) T(n/r) + O(n \log r + r \log^2 r)$$

- Choose  $r = n/\log n$

$$\Rightarrow T(n) = O(n/\log n) T(\log n) + O(n \log n)$$

$$\Rightarrow T(n) = \boxed{O(n \log n)}$$

## Remark:

# A "New" CH Alg'm in Standard Model

- Take sample of size  $r = n^{1-\varepsilon}$ 
  - $\Rightarrow T(n) = cn^{1-\varepsilon} T(n^\varepsilon) + O(\text{time for } n \text{ pt location queries in 2-d subdivision of size } n^{1-\varepsilon})$
  - $\Rightarrow T(n) = cn^{1-\varepsilon} T(n^\varepsilon) + O(n \log n)$
  - $\Rightarrow T(n) = \boxed{O(n \log n)}$  by choosing  $\varepsilon < 1/c$
- **Note:** compare with other  $O(n \log n)$  rand. 3-d CH alg'ms (e.g. [Clarkson,Shor'88], ... [Amenta,Choi,Rote,SoCG'03] )



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  - $\Rightarrow T(n) = cn^{1-\varepsilon} T(n^\varepsilon) + O(n \log n)$
  - $\Rightarrow T(n) = \boxed{O(n \log n)}$  by choosing  $\varepsilon < 1/c$
- **Note:** leads to new optimal rand. **cache-oblivious** alg'ms for 3-d CH (simplifies [Kumar,Ramos'02]) & 2-d segment intersection (extends [Arge,Molhave,Zeh,ESA'08] )

# Some Open Problems

- Optimal **deterministic** in-place alg'ns for 3-d CH or 2-d segment intersection?
- In-place **and** cache-oblivious?
- In-place 2-d EMST?
- In-place nearest neighbor search in  $O(\log n)$  time?
- General point location in  $o(\log^2 n)$  time in permutation+bits model??