

On the Bichromatic k -Set Problem

Timothy Chan

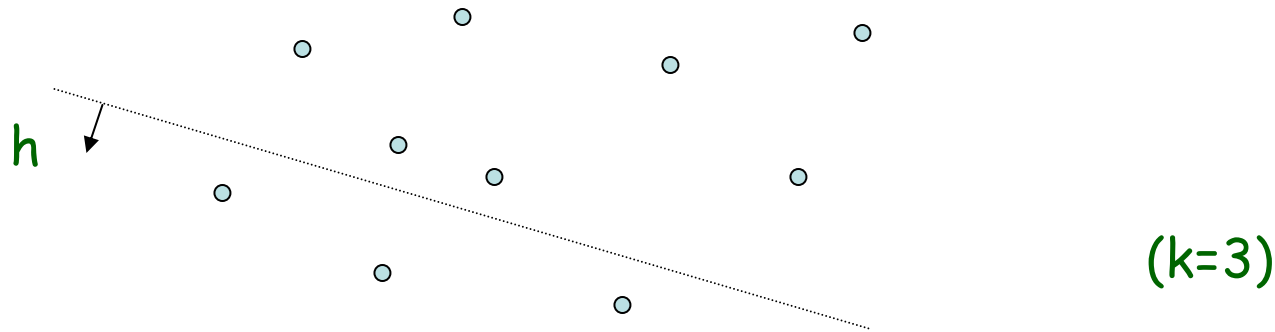
School of CS

U of Waterloo

A STANDARD PROBLEM IN
COMBINATORIAL GEOMETRY...

The k-Set Problem (in 2D)

- Given n pts P in \mathbb{R}^2 , a **k-set** is a subset $P \cap h$ of size k for some halfplane h

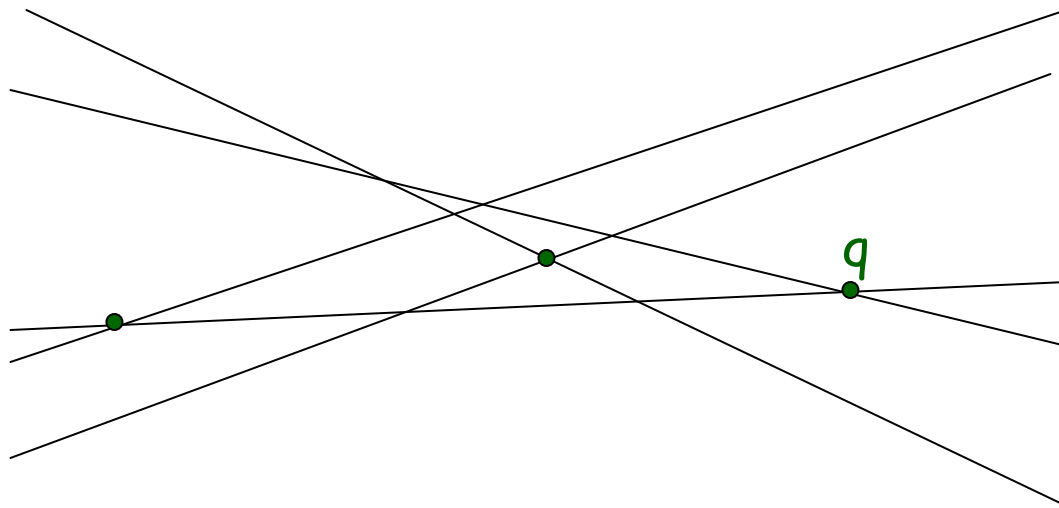


- How many k-sets possible as fn of n & k ?

(**Note:** $k=n/2$ case called **halving line** problem)

Equiv. Dual Version: k-Level of Lines

- Given n lines in \mathbb{R}^2 ,
the **level** of a pt $q := \#$ of lines below q



($k=1$)

- How many vertices of level k ?

Previous Work: Upper Bds

- Lovász'71 $O(n^{3/2})$
- Erdős, Lovász, Simmons, Straus'73 $O(nk^{1/2})$
(also by Gusfield'79, Edelsbrunner, Welzl'85)
- Pach, Steiger, Szemerédi [FOCS'89] $O(nk^{1/2}/\log^* n)$
- Dey [FOCS'97] $O(nk^{1/3})$
- C. [FOCS'03] $O(nk^{1/2})$
(a different proof)

Previous Work: Lower Bds

- Erdős, Lovász, Simmons, Straus'73 $\Omega(n \log k)$
- Klawe, Paterson, Pippenger'82 $n^{2^{\Omega(\sqrt{\log k})}}$
- (for pseudolines) $n^{2^{\Omega(\sqrt{\log k})}}$
- Tóth [SoCG'00]
- Conjecture by Erdős et al.'73:
 $o(n^{1+\varepsilon})$??

New Result (for the Standard 2D Problem)

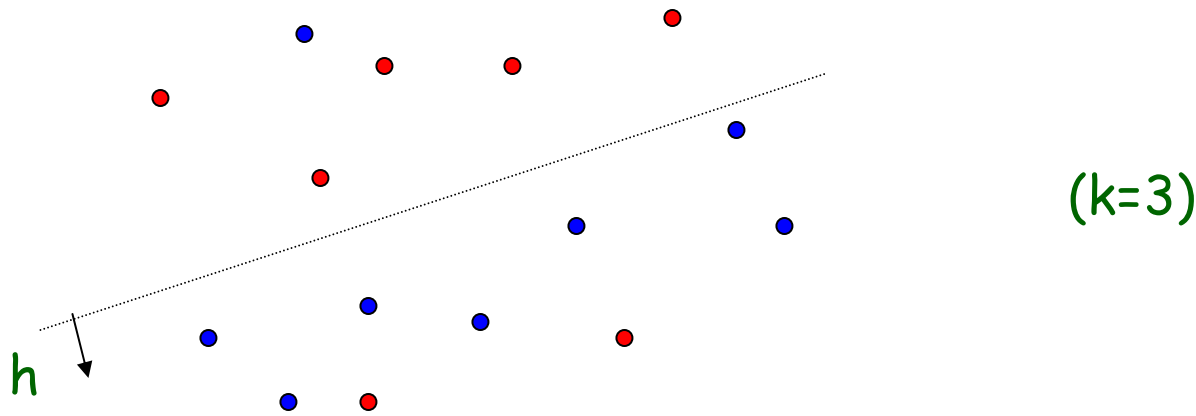
New Result (for the Standard 2D Problem)

- Nothing (sorry!)

A "NEW" VERSION OF THE
PROBLEM...

The Bichromatic k -Set Problem (in 2D)

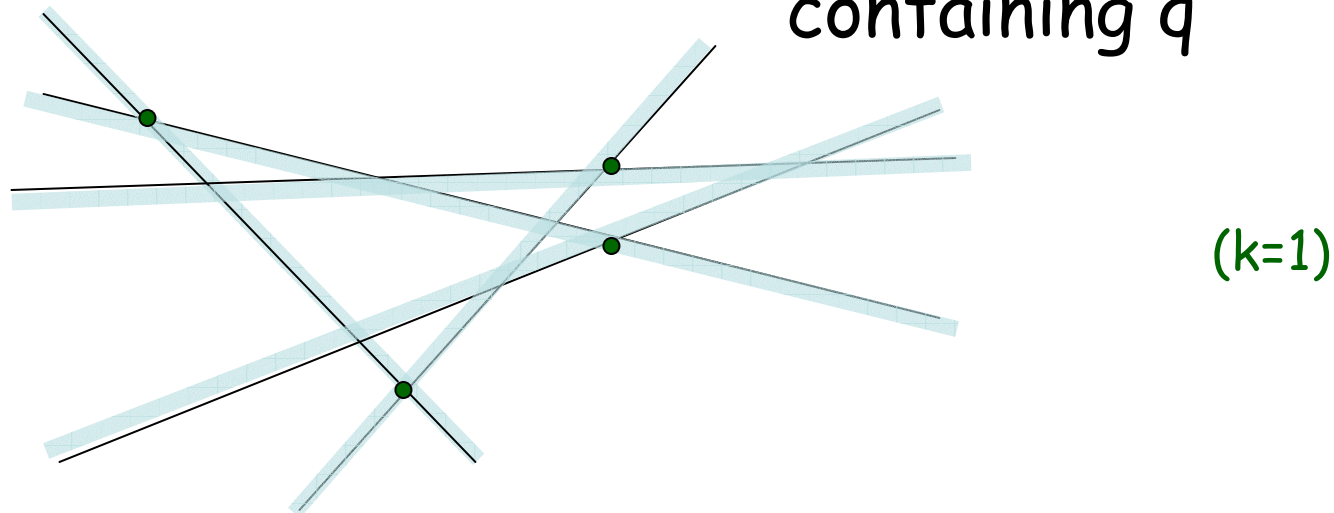
- Given n red pts R & blue pts B in \mathbb{R}^2 ,
a **k -set** is a subset $(R \cap h) \cup (B - h)$ of size k for
some halfplane h



- How many k -sets possible as fn of n & k ?

Equiv. Version: k -Level of Halfplanes

- Given n halfplanes in \mathbb{R}^2 ,
the **level** of a pt q := # of halfplanes not containing q

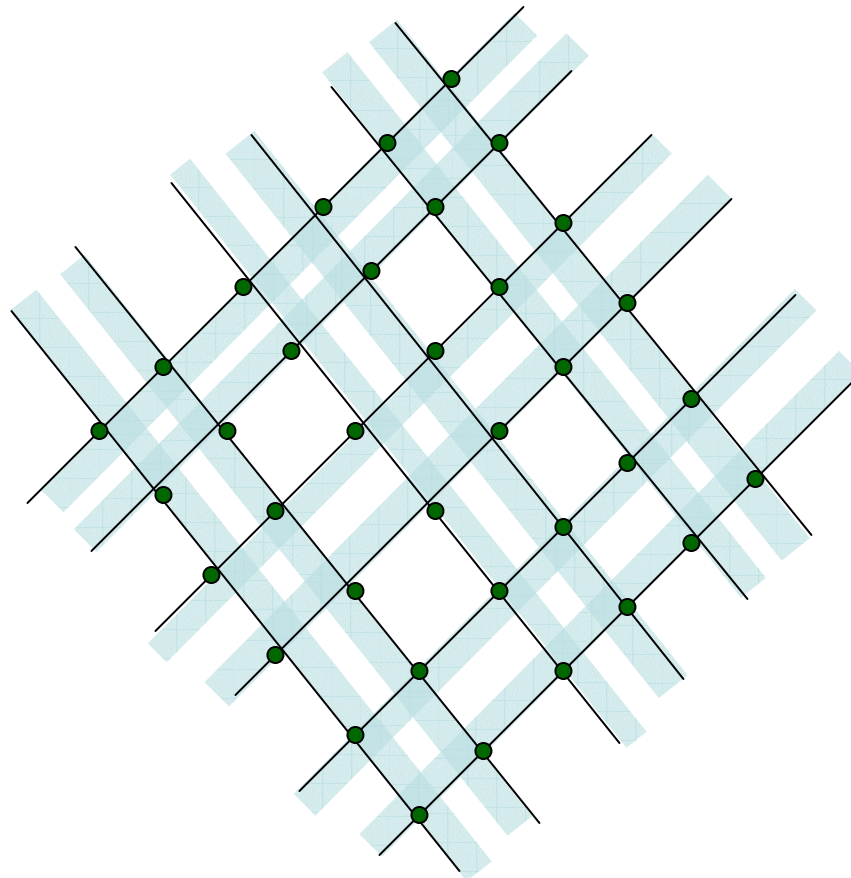


- How many vertices of level k ?

(**Appl'ns:** optimization with k violated constraints...)

Unfortunately...

- subquadratic in n is not possible!



$(k \sim n/2)$

One "Easy" Upper Bd

- $O(nk)$ [e.g. Clarkson,Shor]

One Previous Work

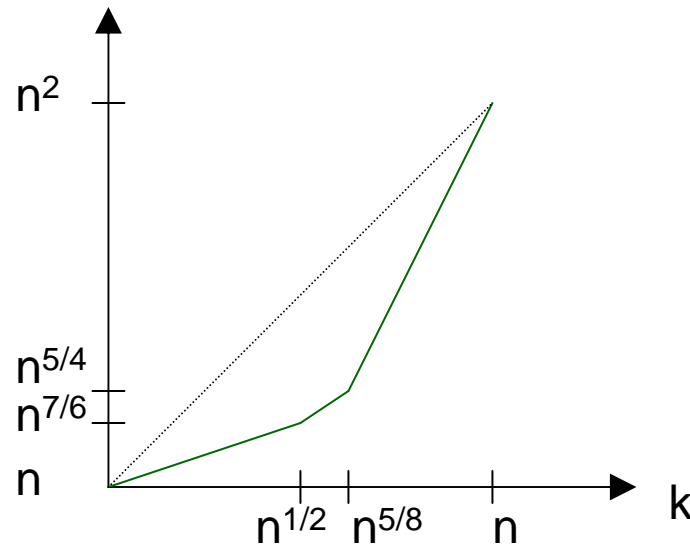
- Linhart'93: improve consts
- Conjecture by Linhart:
 $O(nk)$ is tight

New Result

New Result

- Linhart is wrong (sorry!)

- $O^*(nk^{1/3} + n^{5/6}k^{2/3} + k^2)$



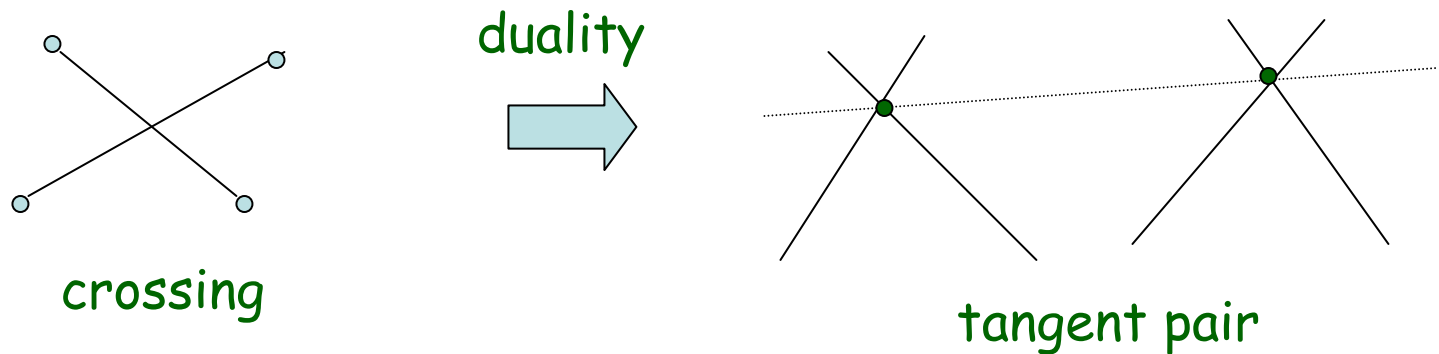
(Note: * hides n^ϵ factors)

Rest of Talk

- Rough sketch of the approach
 - Extend Dey's $O(nk^{1/3})$ proof
 - Extend C.'s $O(nk^{1/2})$ proof
 - Combine...
- Results beyond 2D

Dey's Proof (for the Standard Problem) [FOCS'97]

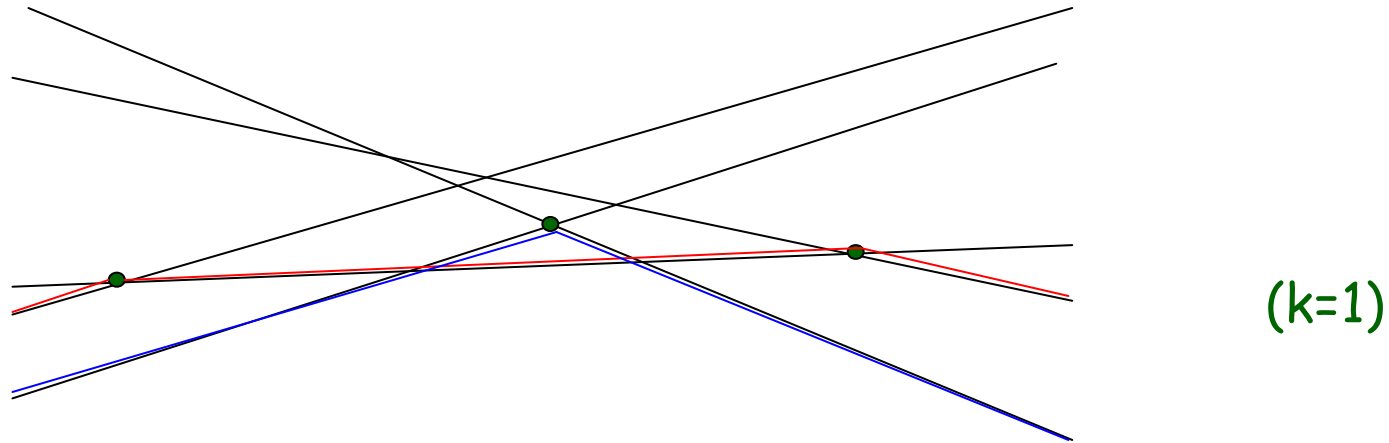
- **Crossing Lemma:** A geometric graph with n vertices & X crossings has $O(n + n^{2/3}X^{1/3})$ edges
- **Equivalently:** A set of vertices in an arrangement of n lines with X tangent pairs has cardinality $O(n + n^{2/3}X^{1/3})$



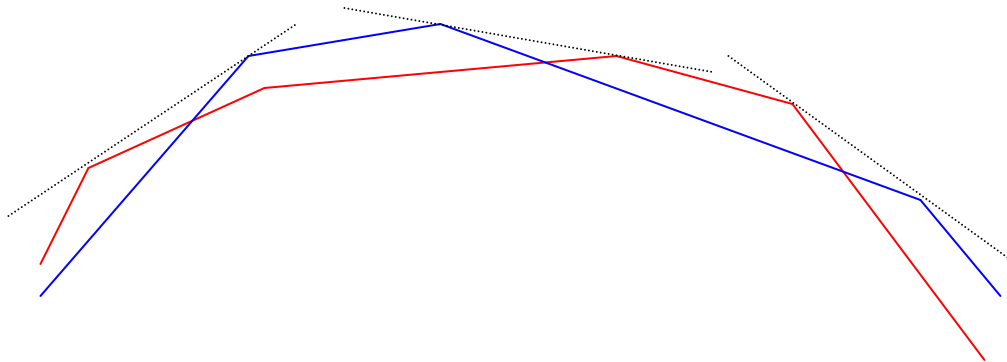
- **To show:** $X = O(nk)$

Dey's Pf (Cont'd)

- Form $k+1$ concave chains to visit all k -level vertices



- # tangents betwn 2 chains \leq # intersect'ns + $O(1)$



$$\Rightarrow X \leq \text{total \# intersect'ns} + O(k^2) = O(nk)$$

New Proof 1

- **Idea:** modify Dey's pf
- This time, # concave/convex chains = $O(k + M)$
where $M = \#$ k -level local x -minima/maxima
- \Rightarrow Total # intersect'ns = $O(nk + M^2)$
- $\Rightarrow X = O(nk + M^2)$
- $\Rightarrow O(n + n^{2/3}X^{1/3}) = O(nk^{1/3} + n^{2/3}M^{2/3})$
- **Known:** $M = O(k^2)$ [Clarkson,Shor/Mulmuley'93]
- \Rightarrow $O(nk^{1/3} + n^{2/3}k^{4/3})$

Refined Proof 1

- **Idea:** divide&conquer [Agarwal,Aronov,C.,Sharir'98]
- Divide into $O(n/k)$ slabs each with $O(k)$ relevant lines

$$\begin{aligned} \Rightarrow & O\left(\sum_i (n_i k^{1/3} + n_i^{2/3} M_i^{1/3})\right) \\ &= O\left(\frac{n}{k} \cdot [k^{4/3} + k^{2/3} (k^2/(n/k))^{1/3}]\right) \\ &= \boxed{O(nk^{1/3} + n^{1/3}k^{5/3})} \end{aligned}$$

C.'s Proof (for the Standard Problem) [FOCS'03]

- Let $t_i = \#$ vertices at level $k-i, \dots, k+i$

- **To show:** $t_i \lesssim 2i (t_{i+1} - t_i)$

$$\Rightarrow t_0 = O(t_k / k^{1/2}) = O(nk^{1/2})$$

Proof 2

- **Idea:** modify C.'s pf

- $O^*(nk^{1/2} + M) = O^*(nk^{1/2} + k^2)$

Combining Proof 1 & 2

- $O^*(nk^{1/3} + n^{5/6}k^{2/3} + k^2)$

BEYOND 2D...

The Standard Problem in 3D: Previous Work

- Bárány, Füredi, Lovász [SoCG'89] $O(n^{2.998})$
- Aronov, Chazelle, Edelsbrunner, Guibas, Sharir, Wenger [SoCG'90] $O(n^{8/3} \log^{5/3} n)$
- Eppstein'93 $O(n^{8/3} \log^{2/3} n)$
- Dey, Edelsbrunner [SoCG'93] $O(n^{8/3})$
- Agarwal, Aronov, C., Sharir'98 $O(nk^{5/3})$
- Sharir, Smorodinsky, Tardos [SoCG'00] $O(nk^{3/2})$
- C. [SODA'05] $O(nk^{1.997})$
- Tóth [SoCG'00] $nk^{2^{\Omega(\sqrt{\log k})}}$

The Bichromatic Problem in 3D: New Result

- $O(nk^{3/2} + n^{0.5034}k^{2.4932} + k^3)$

- **Proof approach**

- Extend Sharir, Smorodinsky, Tardos' $O(nk^{3/2})$ proof
- Extend C.'s $O(nk^{1.997})$ proof
- Combine...

The Standard Problem in 4D: Previous Work

- Bárány, Füredi, Lovász [SoCG'89]
+ Živaljević, Vrećić'92 $O(n^{3.99997})$
- Agarwal, Aronov, C., Sharir'98 $O(n^2 k^{1.99997})$
- Matoušek, Sharir, Smorodinsky,
Wagner'06 $O(n^2 k^{88/45})$

The Bichromatic Problem in 4D: New Result

- $O(n^2k^{3/2} + n^{1.5034}k^{2.4932} + nk^3)$

The Bichromatic Problem in 4D: New Result

- $O(n^2k^{3/2} + n^{1.5034}k^{2.4932} + nk^3)$

Note: new even for the standard k -set problem in 4D !!
(beats $O(n^2k^{88/45})$ for $k \ll n^{0.92}$)

Final Remarks

- New results also for k -level of curved objects, e.g., balls in 2D/3D...
- **Open:**
 - Improvements in 2D & 3D ??
 - 5D, 6D, ... ??
 - More on k -sets for small k ??